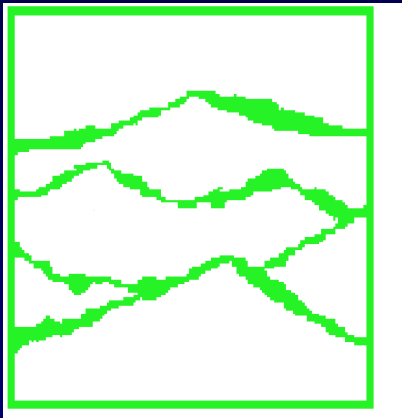


Designcon 2002 Presentation



A New Method For Simultaneously Measuring And Analyzing PLL Transfer Function And Noise Processes

Mike Li
CTO, Ph.D.

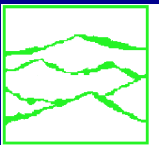
Jan Wilstrup
Corporate Consultant

Wavecrest Corporation

Outline

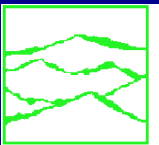
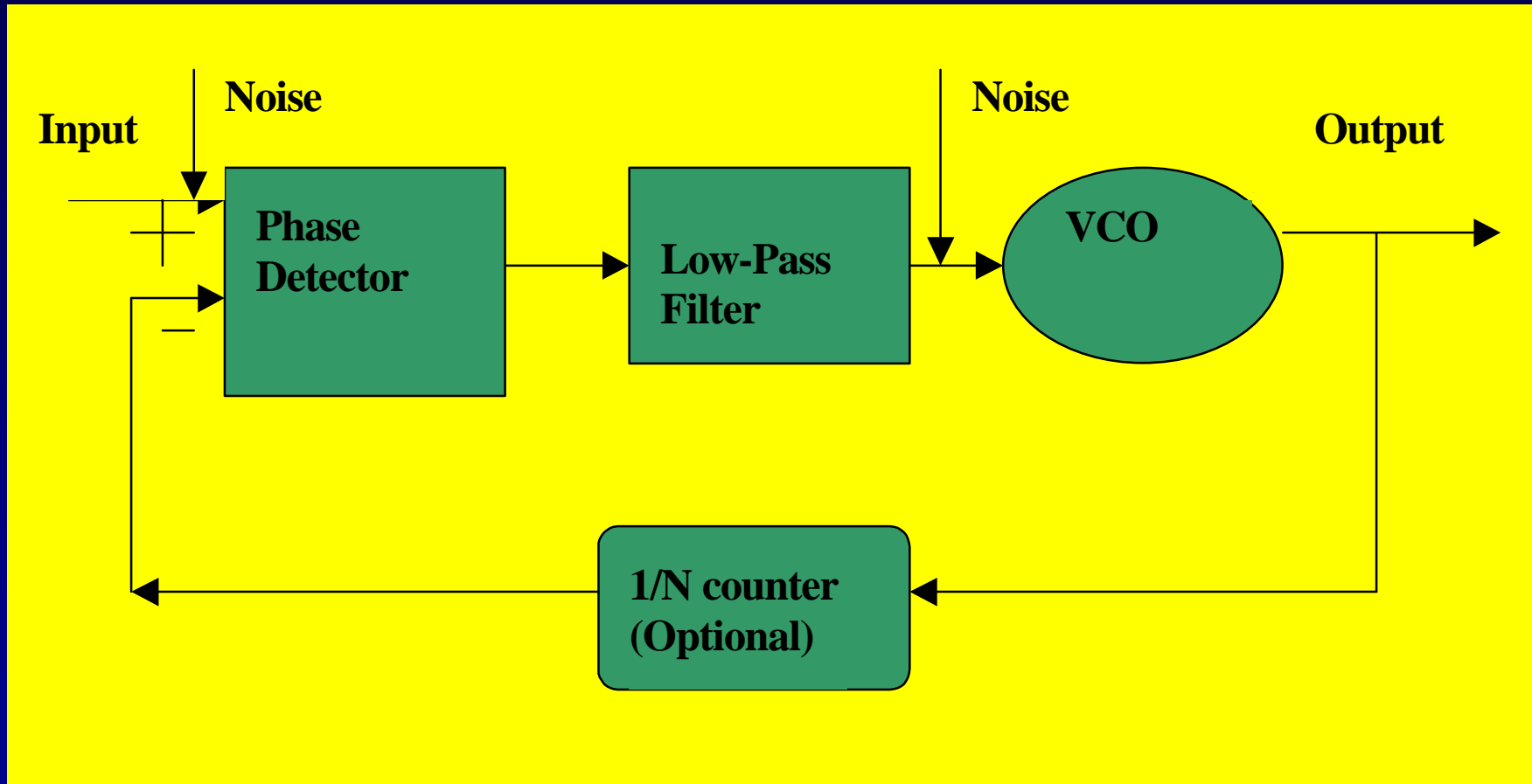
- Introduction
- Phase Locked-Loop (PLL) and Noise Processes
- Variance and Power Spectrum Density (PSD)
- Application of Variance and PSD in PLL analysis
- Conclusion

(Patents for the methodology is pending)



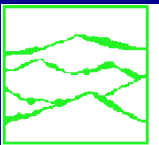
Wavecrest

Phase-Locked Loop



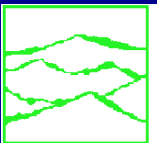
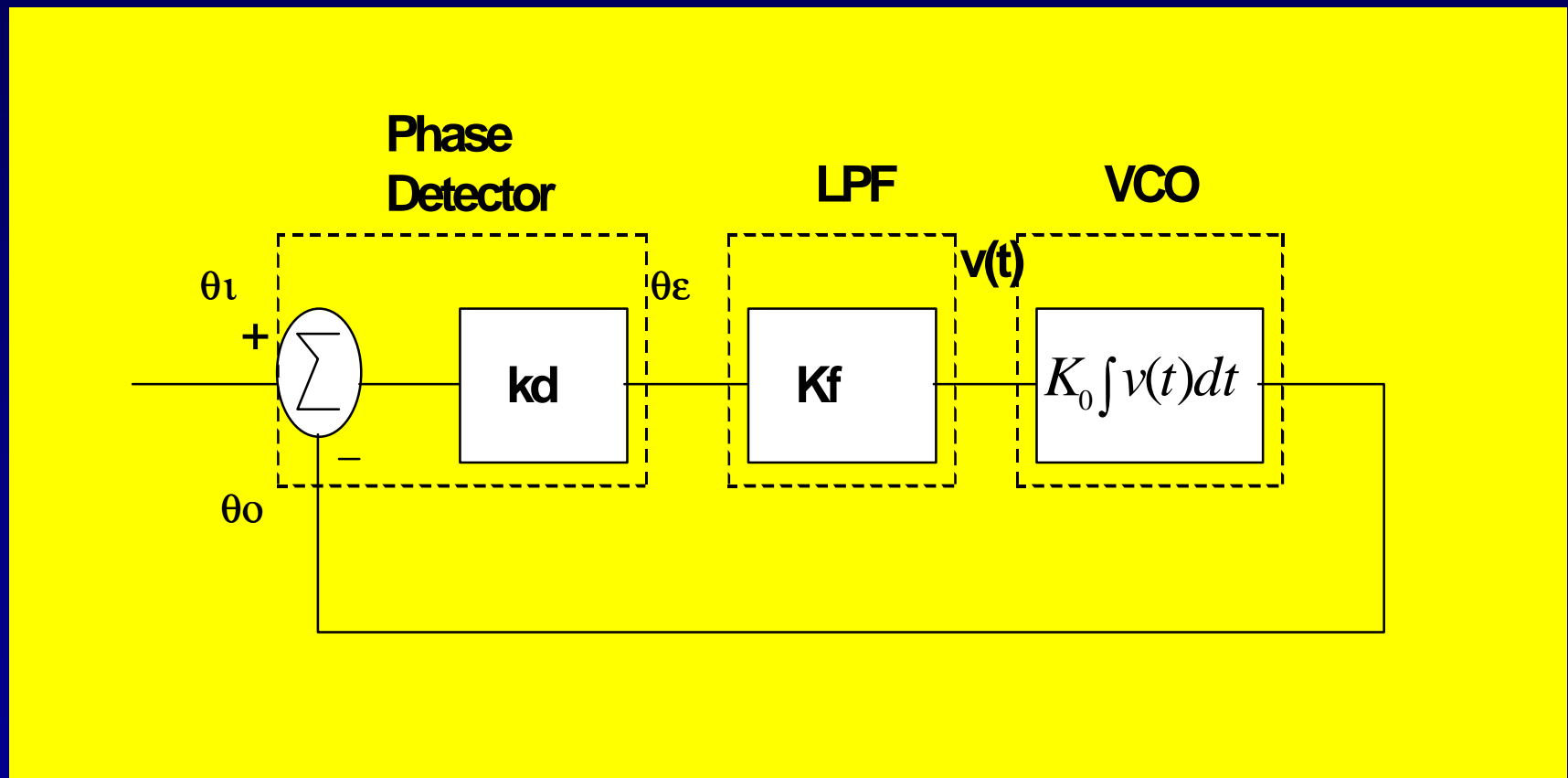
PLL Applications

- Tracking
- Frequency multiplication/division
- Synchronization
- Demodulation
- Computer/microprocessor
- Clock generation
- Clock recovery



PLL Model

I.) Time-Domain Approach



PLL Model

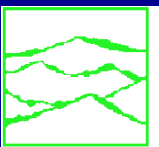
I.) Time-Domain Solutions

$$q_e = e^{-Kt} \left(\int e^{Kt} q_i(t) dt + c \right)$$

$K = K_d K_f K_o$, is the loop gain,

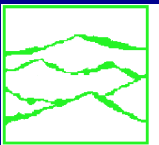
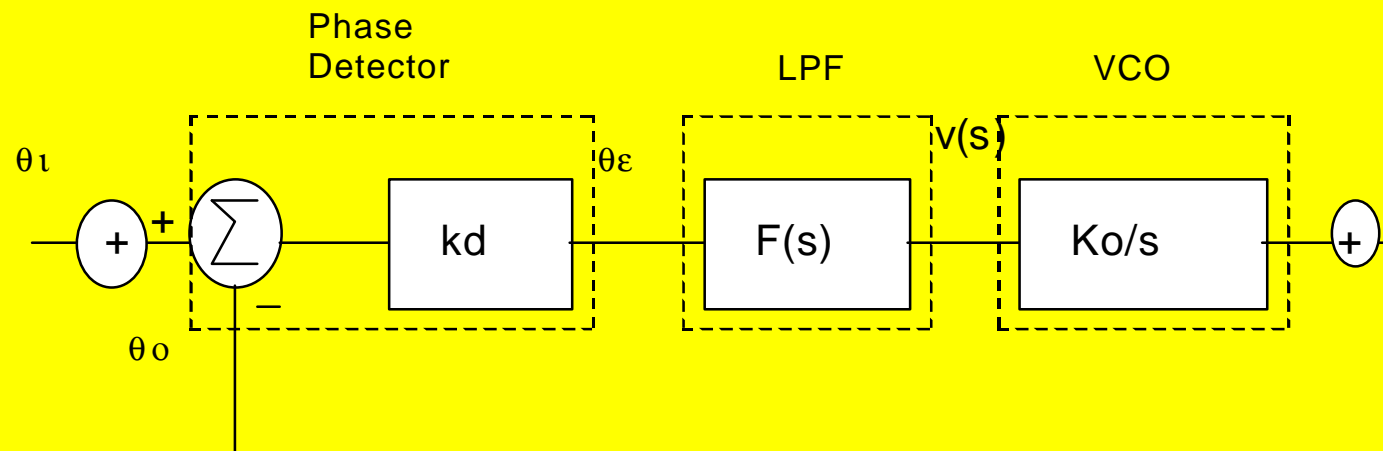
If $\theta_i = \text{constant}$, then $\theta_e \rightarrow 0$ when $t \rightarrow \infty$;

If $\theta_i = \omega t$, then, $\theta_e \rightarrow \text{constant}$ when $t \rightarrow \infty$.



PLL Model

II.) Frequency-Domain Approach



PLL Model

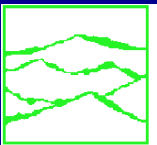
II.) Frequency-Domain Solution

- System transfer function

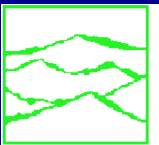
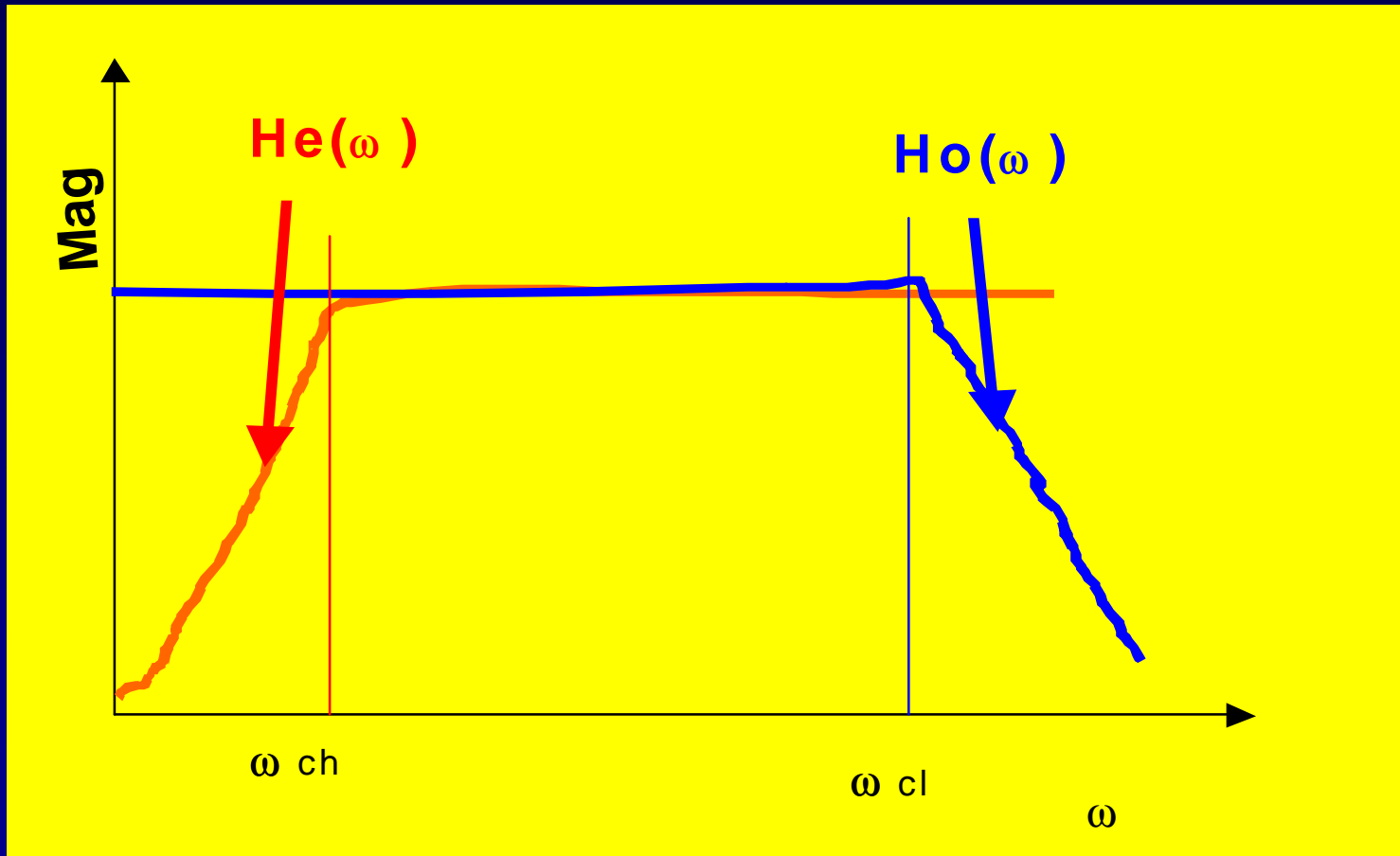
$$H_o = \frac{q_o(s)}{q_i(s)} = \frac{K_d K_o F(s)}{s + K_d K_o F(s)}$$

- Error transfer function

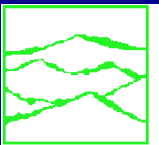
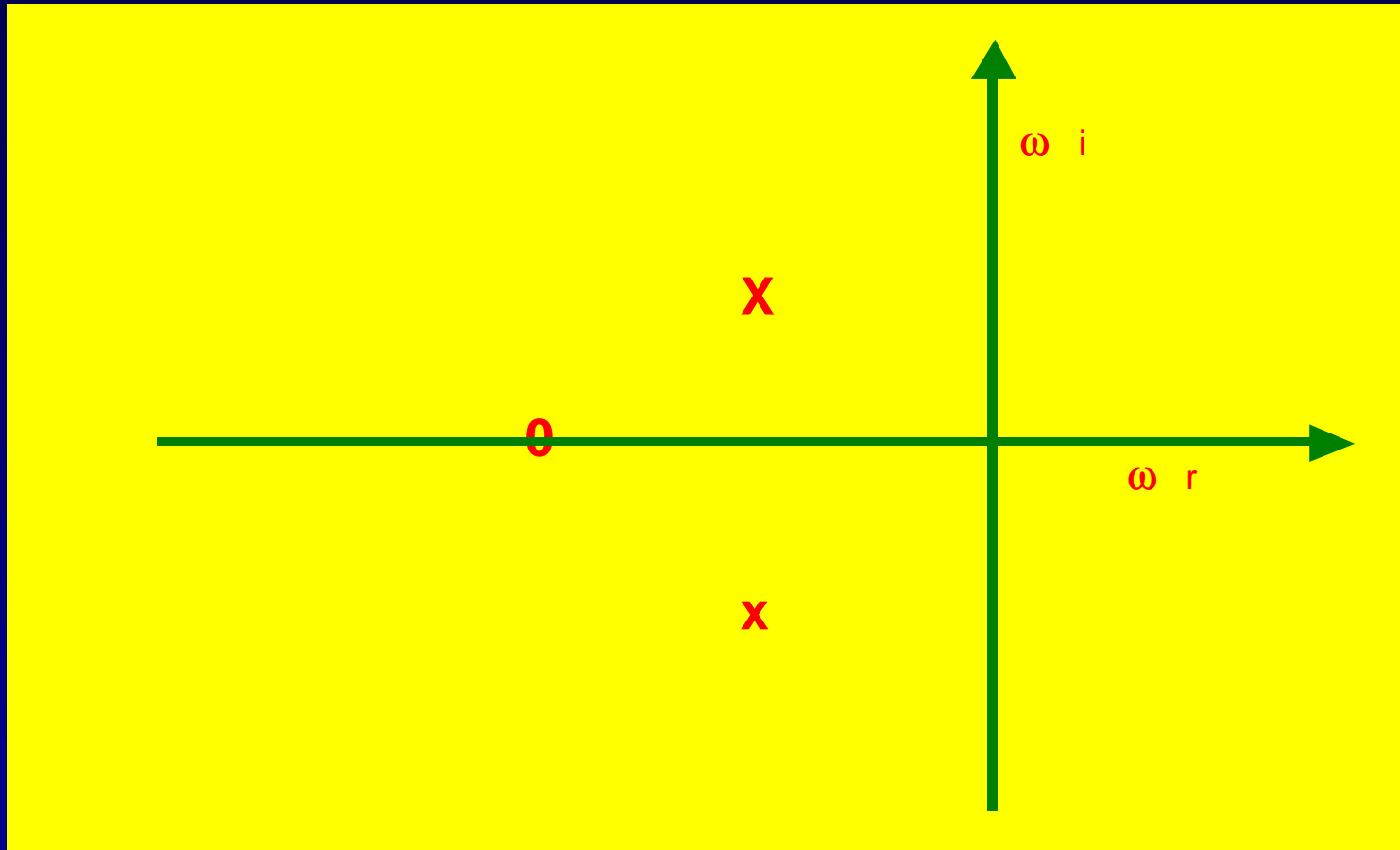
$$H_e(s) = \frac{q_e(s)}{q_i(s)} = \frac{K_d s}{s + K_d K_o F(s)}$$



PLL Transfer Functions

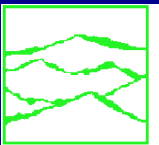


PLL Poles and Zeros



PLL Key Parameters

- Damping factor
- Natural frequency
- Locking time
- Locking range
- Pull-in time
- Pull-in range
- Noise bandwidth



PLL Noise Processes

- Thermal noise

$$S_{th,i} = 2kT / R$$

- Short noise

$$S_{s,i} = qi(t)$$

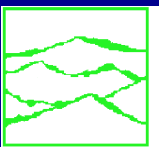
- flick noise

$$S_f = K_a \frac{I^a}{f_m^b}, b \sim 1$$

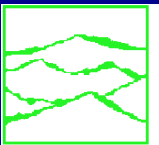
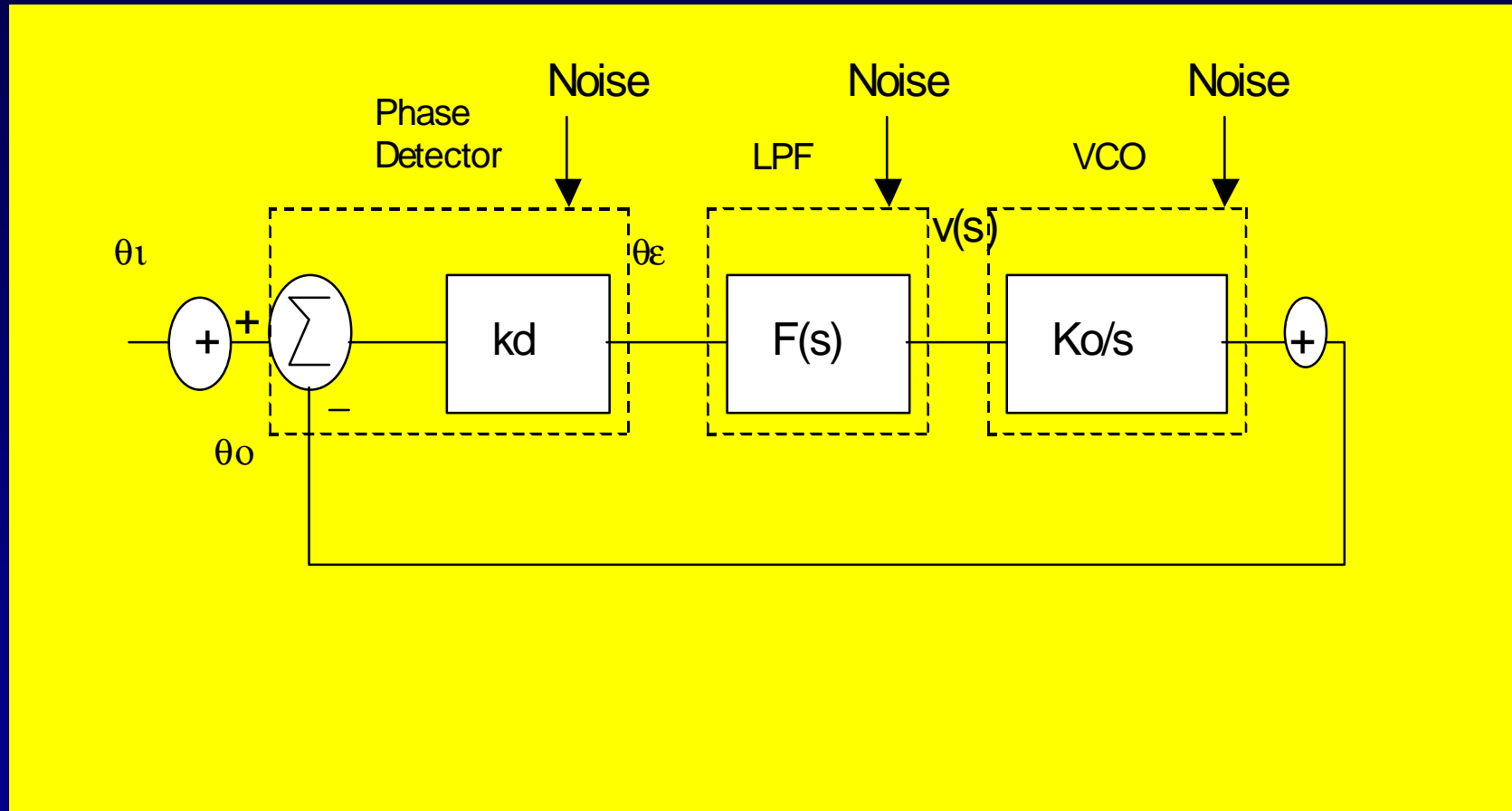
- Random walk

$$S_f = K_a \frac{I^a}{f_m^b}, b \sim 2$$

- High order random noise



PLL Noise Processes



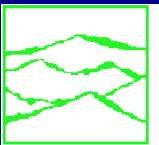
PLL Noise Model

I.) Time-domain approach

$$\mathbf{s}_t^2(t) = 2(\mathbf{s}_0^2 - R_{tt}(\Delta t_n(t), \Delta t_0))$$

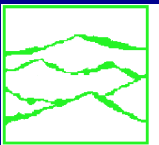
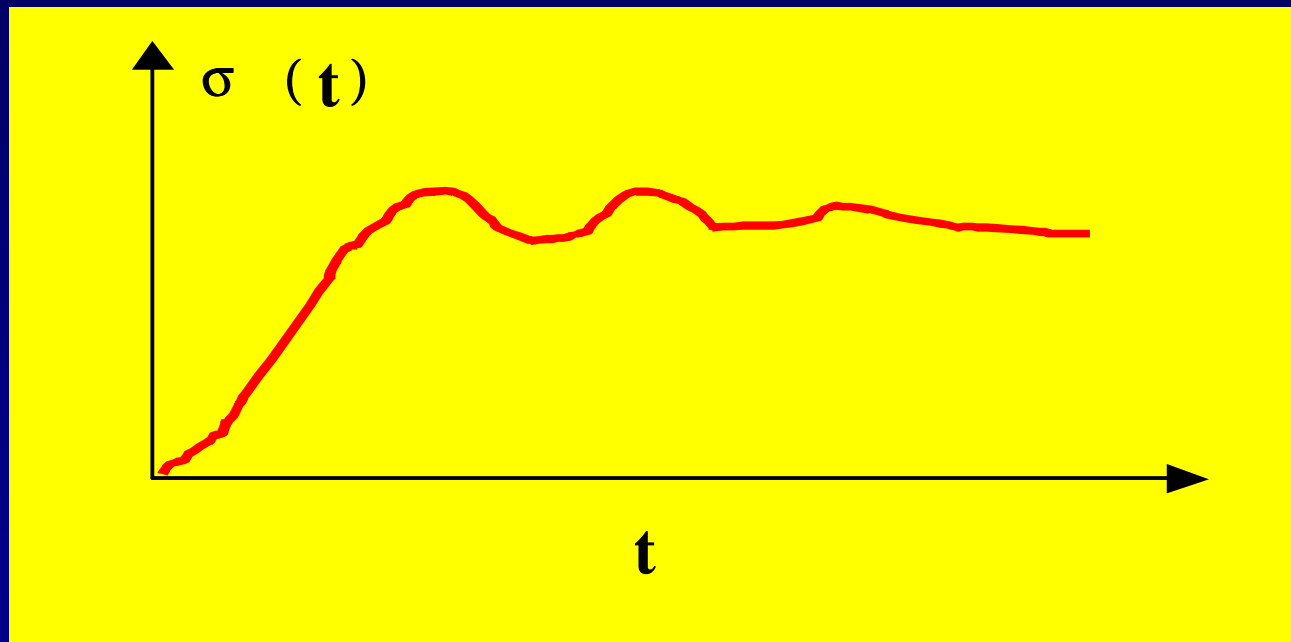
- But

$$R_{tt}(\Delta t_n(t), \Delta t_0) = \mathfrak{S}^{-1}(S(f))$$



PLL Noise

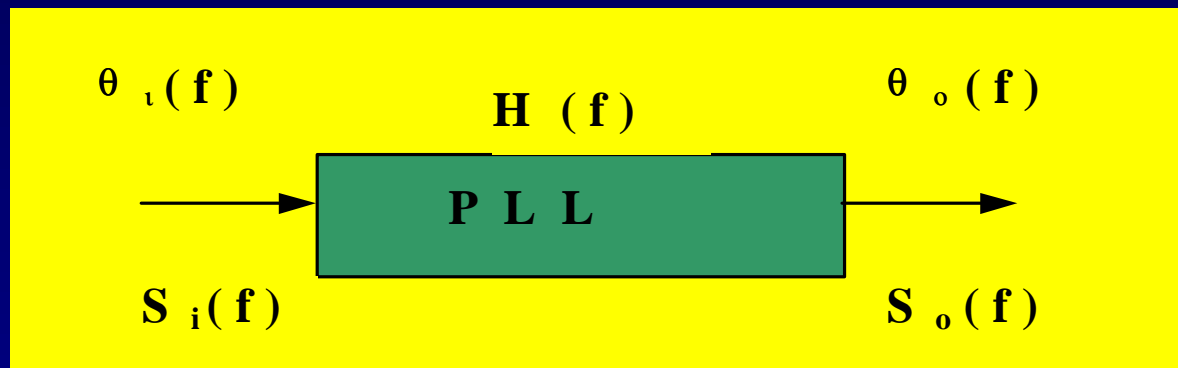
Variance (or sigma) record



Wavecrest

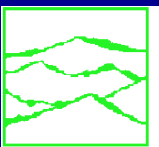
PLL Noise

Some basics on LTI system



$$q_o(f) = H_o(f)q_i(f)$$

$$S_o(f) = |H_o(f)|^2 S_i(f)$$

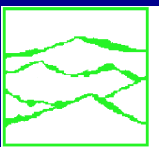


PLL Noise Model

II.) Frequency-domain approach

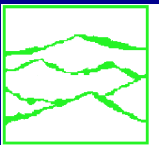
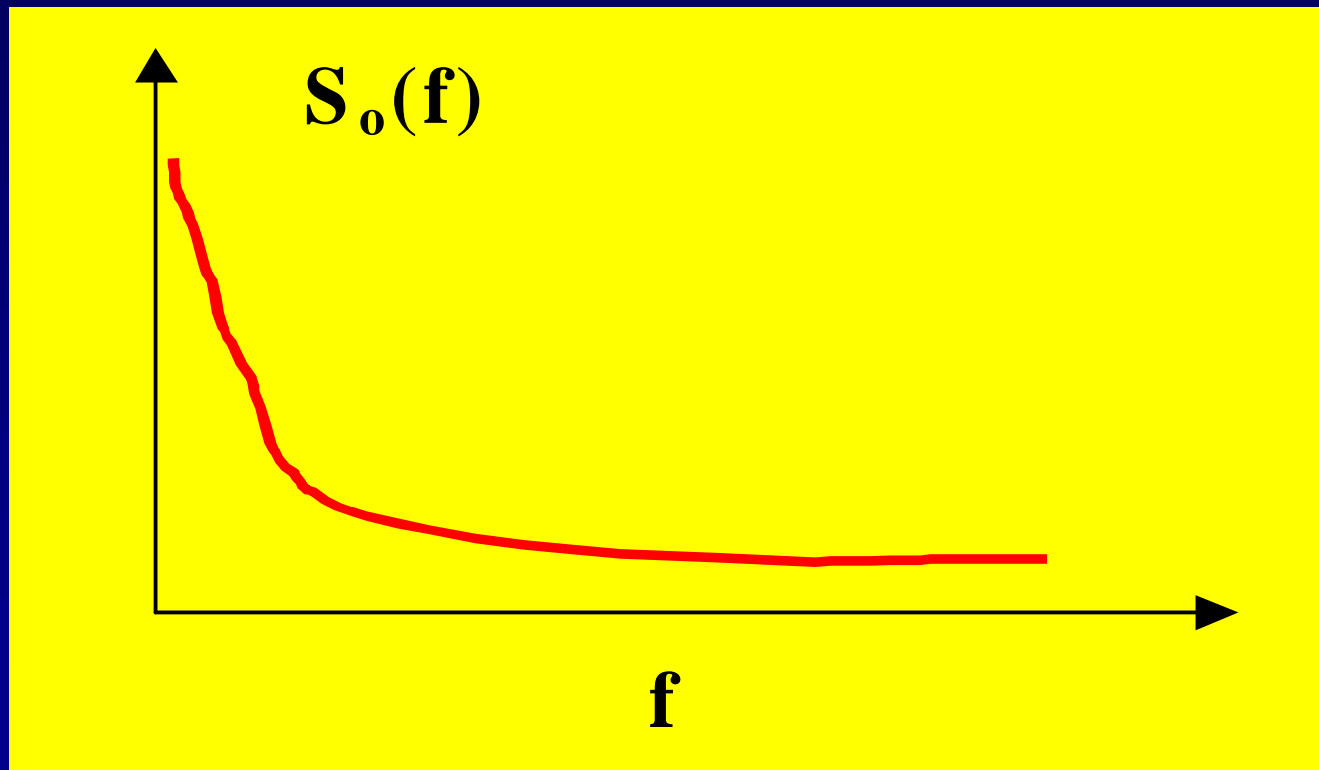
$$S_o(f) = \sum_i S_i(f) \left| \frac{H_{FG_i}(s)}{1 + H_{OL}(s)} \right|_{s=j2\pi f}^2$$

- Key insight: PLL noise PSD manifests both noise process and transfer functions



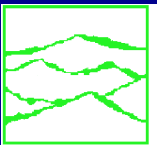
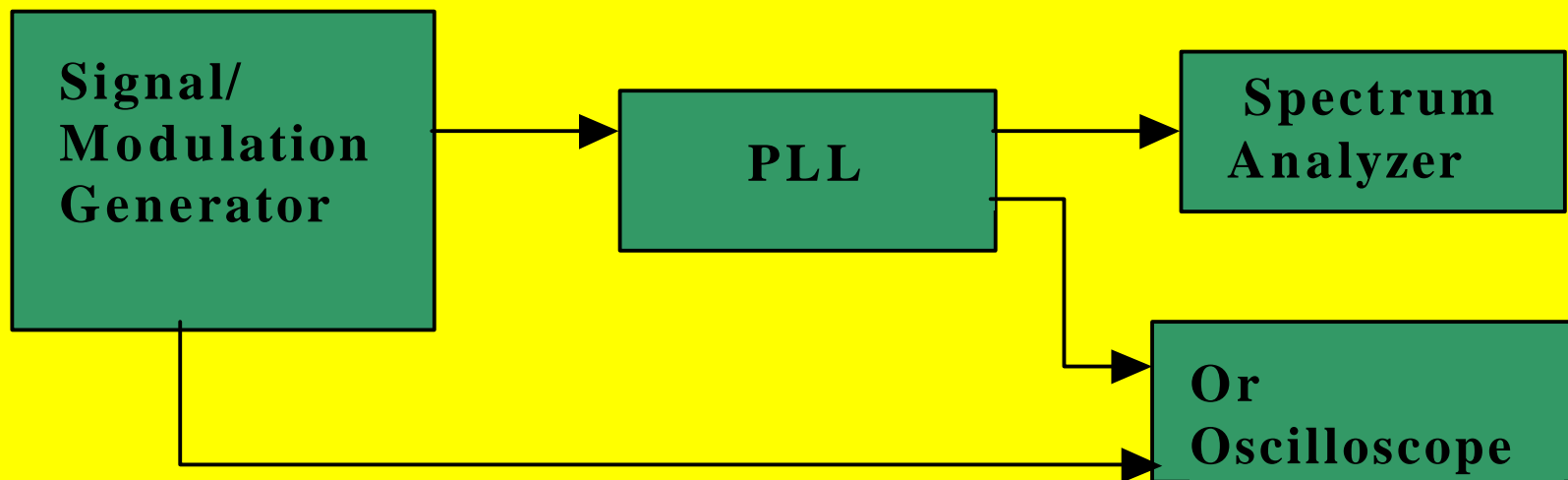
PLL Noise Spectrum

- Noise Power Spectrum Density



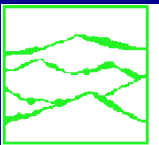
PLL Transfer Function and Gain Measurements

Traditional Methods



Limitations for Traditional Methods

- Requires a modulation & signal source
- Requires the access of PLL internal
- It is a piece-meal approach
- No separation of noise from transfer function
- It is slow
- No prediction capability



New PLL Measurement and Analysis Method

- The methodology is based on the fact that PLL variance tracks both noise process and transfer function.
- The methodology takes the advantage of Time Interval Analyzer (TIA) that can take > 1 million measurements per second.
- The methodology determines the noise PSD and transfer function based on measured variance time record.

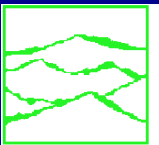


Illustration of the New Method

- For a second-order PLL

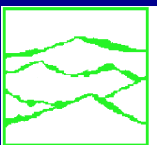
$$H_0(s) = \frac{2z\omega_n s + \omega_n^2}{s^2 + 2z\omega_n s + \omega_n^2}$$

- Variance function will be:

$$\sigma_t^2(t, z, \omega_n, N_n)$$

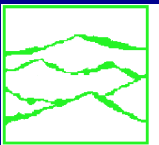
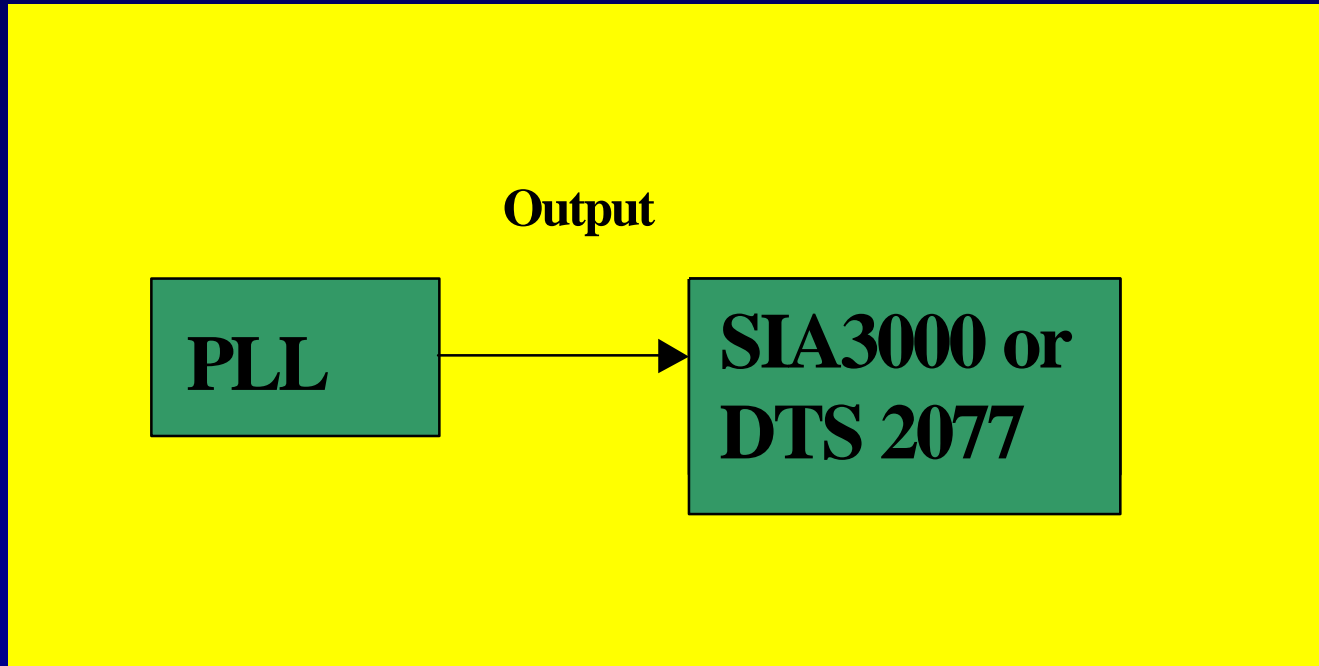
- Parameters of ω_n , ζ , N_n are determined by

$$\left| \sigma_{t_mod_el}^2 - \sigma_{t_measured}^2 \right| < \mathbf{e}$$

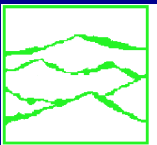
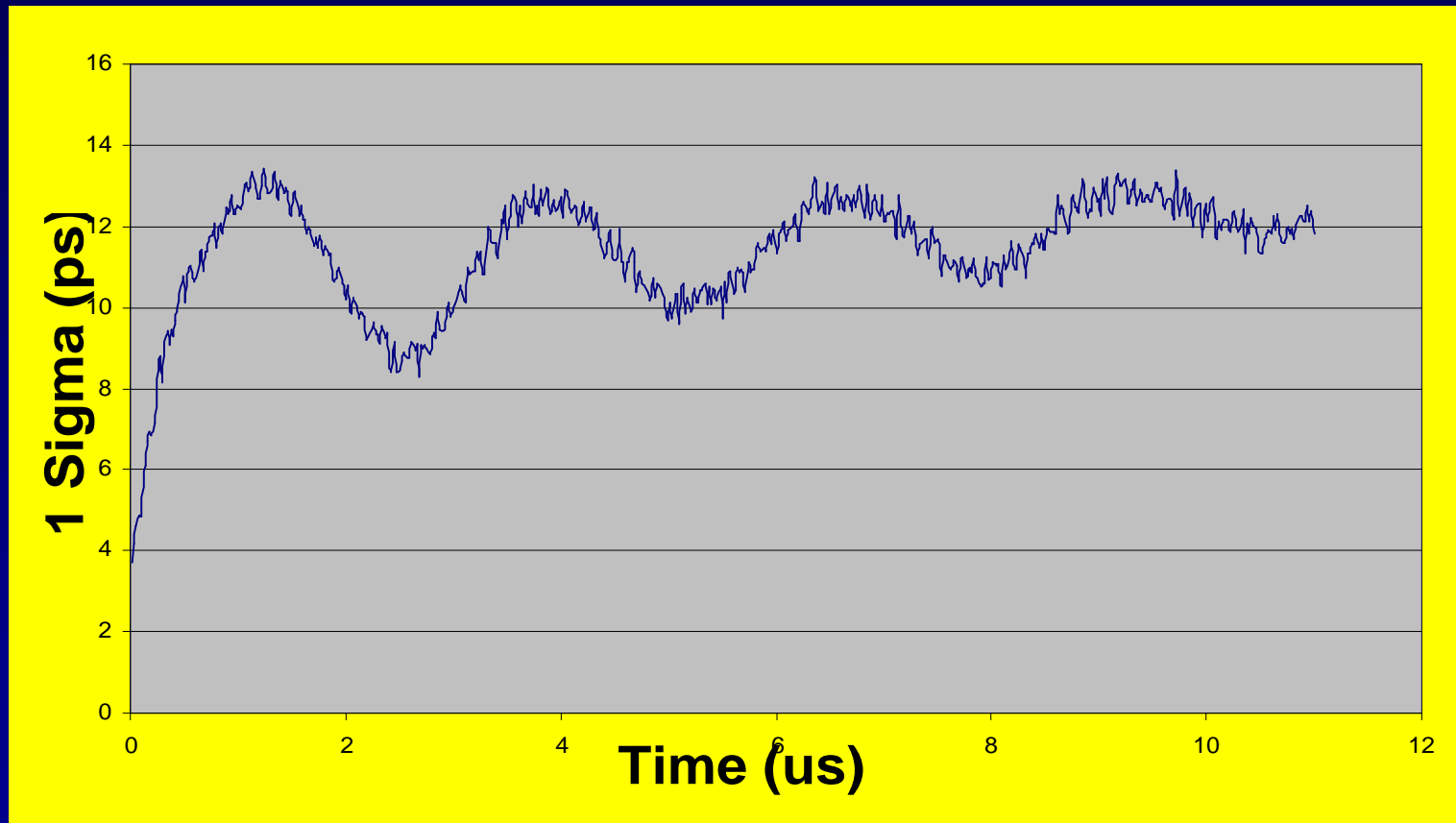


A Case Study

- Setup



Variance Measurement Results

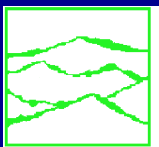


Wavecrest

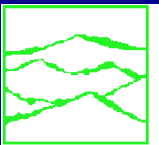
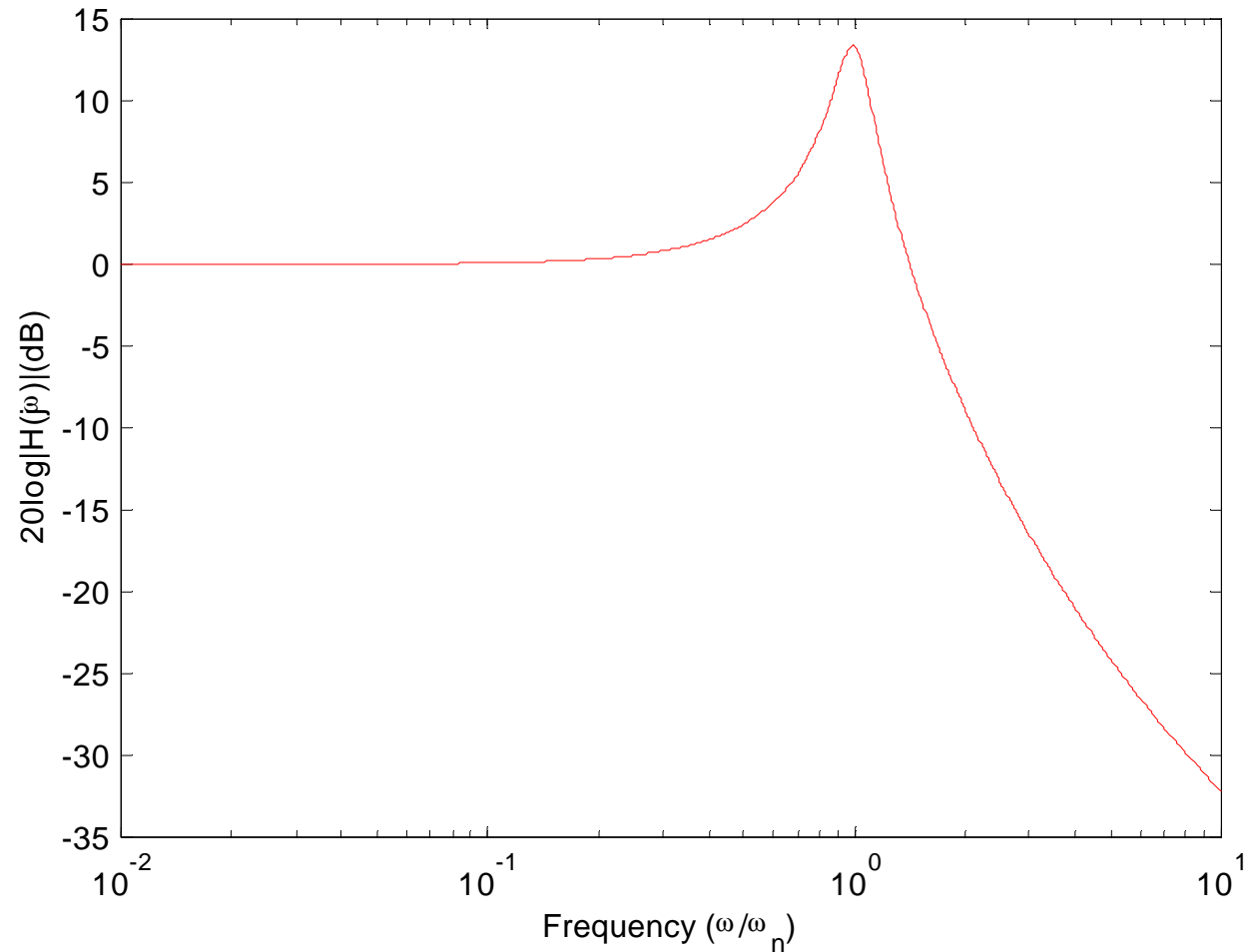
PLL Transfer Function and Noise PSD

- Damping factor: $\zeta = 0.11$
- Natural frequency: $\omega_n = 4.30$ MHz
- Average PSD: $N_n = 3.16 \times 10^{-7}$ $\mu\text{W}/\text{Hz}$

$$H_0(s) = \frac{0.906 s + 18.49}{s^2 + 0.906 s + 18.49}$$



PLL Transfer Function



PLL Parameters

- Lock-in time:

$$T_L = \frac{2p}{w_n}$$

- Lock range:

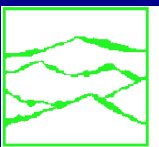
$$\Delta w_L \approx 2zw_n$$

- Pull-in time:

$$T_P = \frac{p^2}{16} \frac{\Delta w_0^2}{zw_n^3}$$

- Noise bandwidth :

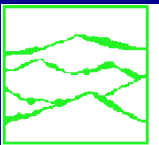
$$B_L = \frac{w_n}{2} \left(z + \frac{1}{4z} \right)$$



etc.....
Wavecrest

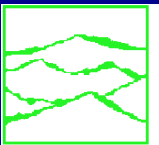
Analysis Functionalities

- Pole/zero locations
- Bode plots
- Root locus
- Stability analysis
- “*in situ*” simulation and prediction



New Measurement Platform: **SIA3000**

- Up to 10 channels (single ended or differential)
- >1 million measurements per second
- 3.2 GHz, 3.2 Gb/s speed
- 200 fs resolution
- < 2 ps rms noise floor
-



Conclusion

- A new theory links PLL transfer function with noise processes.
- A methodology measures and analyzes PLL transfer function and noise PSD in one pass.
- A methodology that is fast (~ second throughput) and does not require a stimulator.
- A methodology provides all the PLL parameters and functions.
- A methodology that makes compliance testing practical for PLL transfer function.

