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*A TECHNOLOGIES COMPANY*

# Characterizing Jitter Histograms for Clock and DataCom Applications

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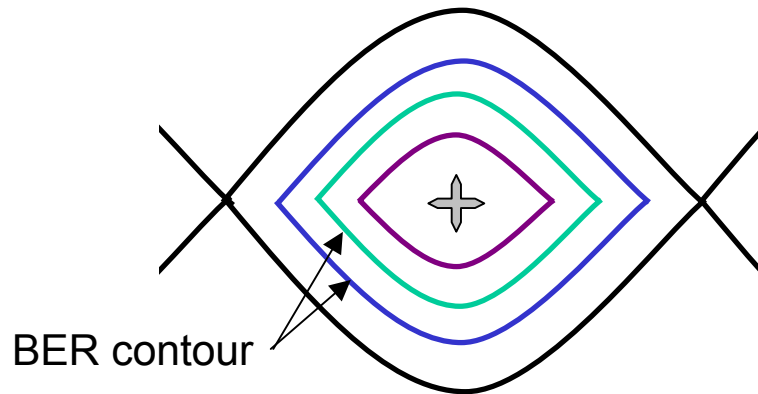
# Outline

- I. Introduction
- II. Measurement Statistics
- III. Types of Jitter Measurements
  - a. Phase, period, cycle-to-cycle
  - b. Role of clock recovery
  - c. Data
- IV. Types of Jitter and Noise
  - a. Timing Jitter
    1. Random and Deterministic Jitter
    2. Sources of jitter
  - b. Amplitude Noise
- V. Instrumentation for Measuring Signal Integrity
  - a. Oscilloscopes
  - b. BERT's
  - c. SIA's
- VI. Conclusion



# Motivation

Desire to have a system with the lowest BER



Determining if a system meets your BER specifications, requires an understanding of:

- *Statistics*
- *Jitter and Noise*
- *Types of Measurements*
- *Instrumentation*



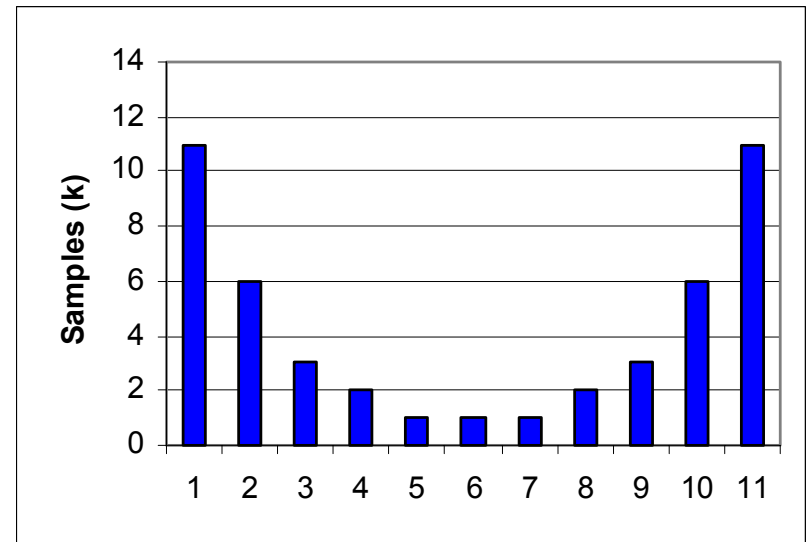
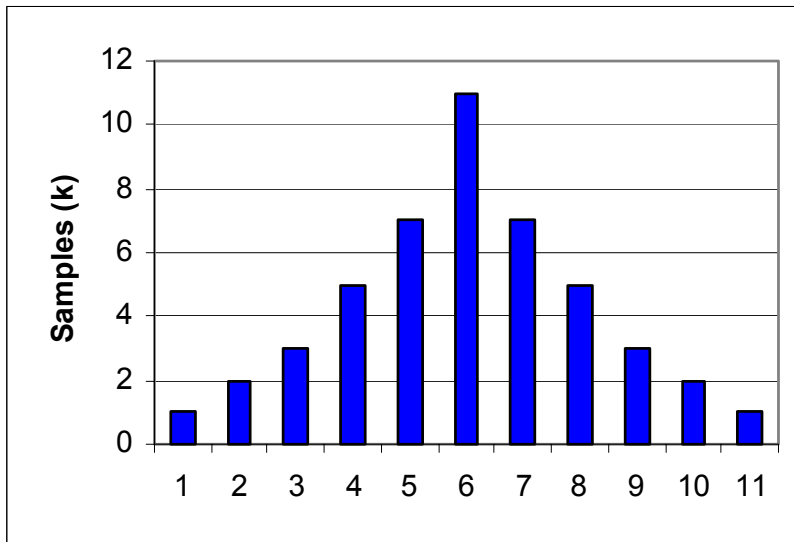
# I. Measurement Statistics

- How do you characterize a histogram?
- Is pk-pk a good metric?
- Is the standard deviation a good metric?
- How do different shaped distributions affect the Total Jitter value?
- How do you accurately determine device performance and reliability?



# Clock Statistics

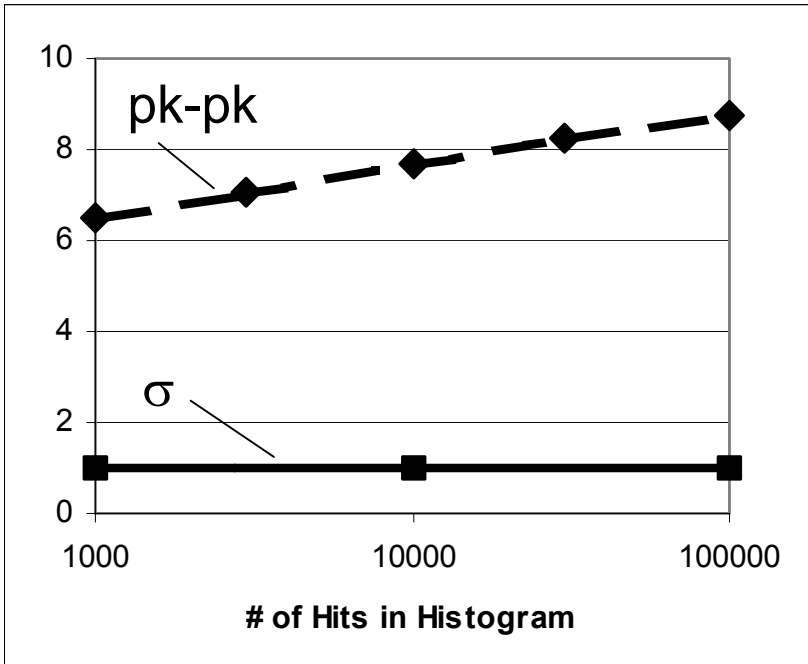
Both histograms have 48,000 hits, mean and a pk-pk of 10



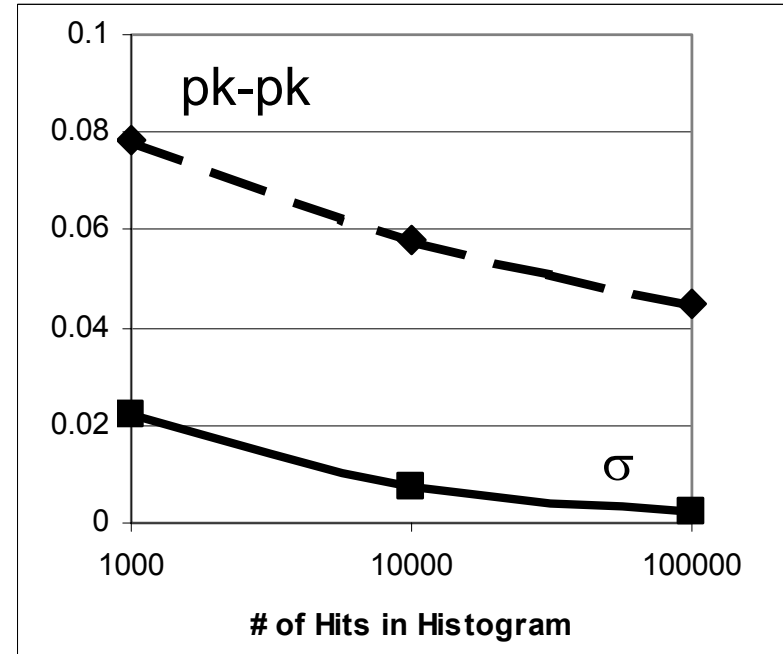
Is pk-pk a good metric for characterizing histograms?



# Statistics for a Gaussian variable



pk-pk increases with sample size  
 $\sigma$  is stable with sample size



Standard error for pk-pk is  
larger than standard  
deviation for all sample sizes  
(standard error =  $\sigma/\sqrt{N}$ )



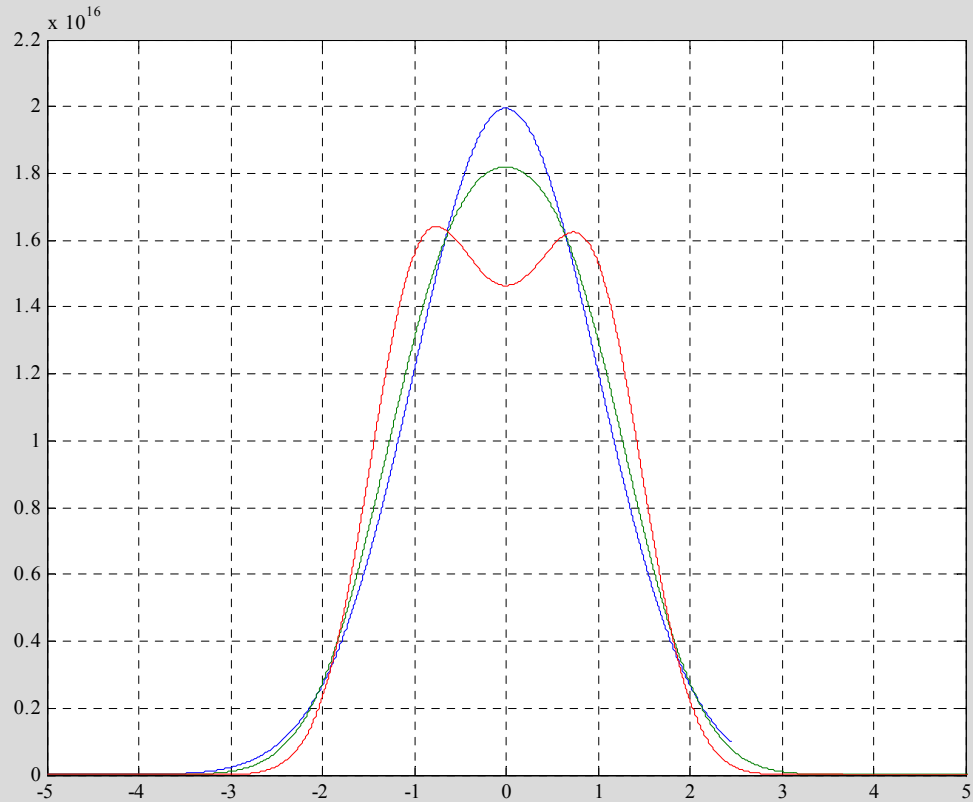
If the distribution is Gaussian, you can determine TJ for a given BER

BER	TJ Value
$1.3 \times 10^{-3}$	$6\sigma$
$3.17 \times 10^{-5}$	$8\sigma$
$2.87 \times 10^{-7}$	$10\sigma$
$9.87 \times 10^{-9}$	$12\sigma$
$1.28 \times 10^{-12}$	$14\sigma$
$1.0 \times 10^{-12}$	$14.069\sigma$

The standard deviation provides information on the characteristics of the distribution: “width parameter”



# Real life histograms



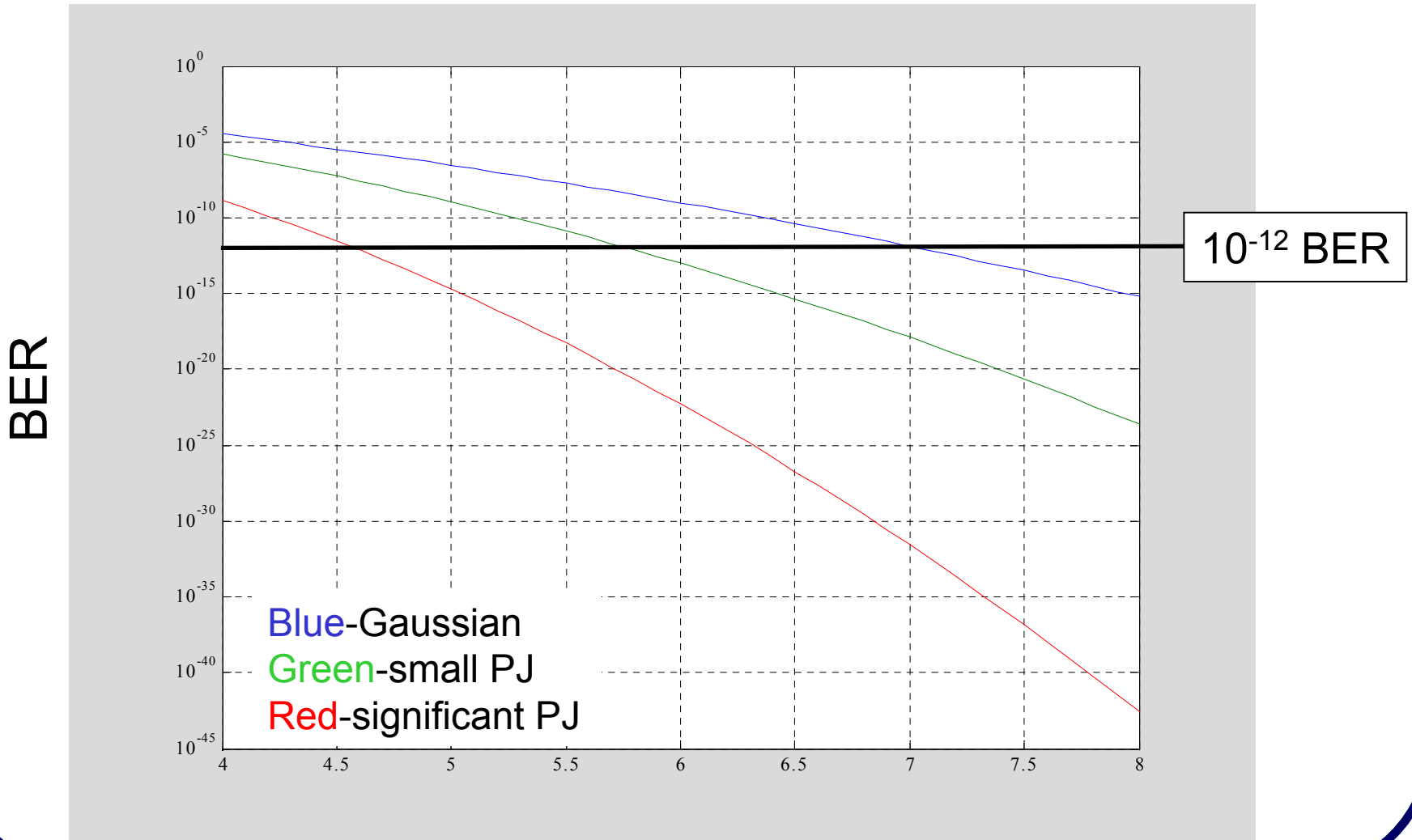
3 histograms with the same standard deviation-what's the TJ for a given BER?

Blue-Gaussian  
Green-small added PJ  
Red-significant PJ



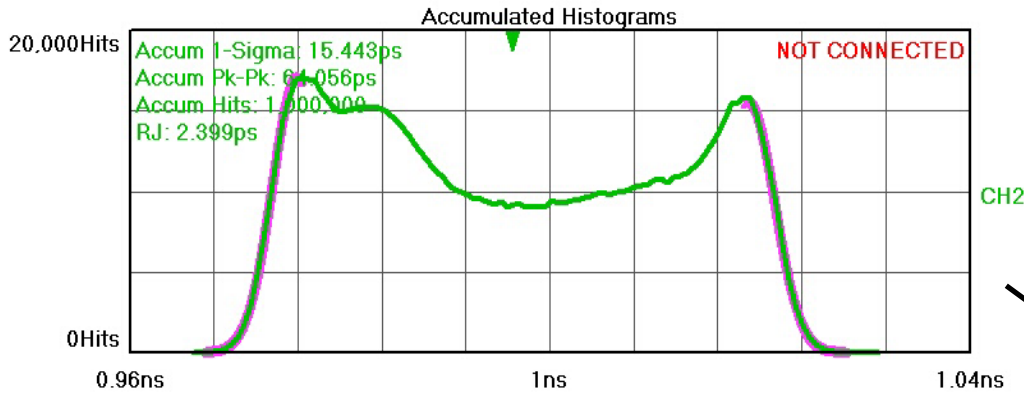


# BER vs. standard deviation

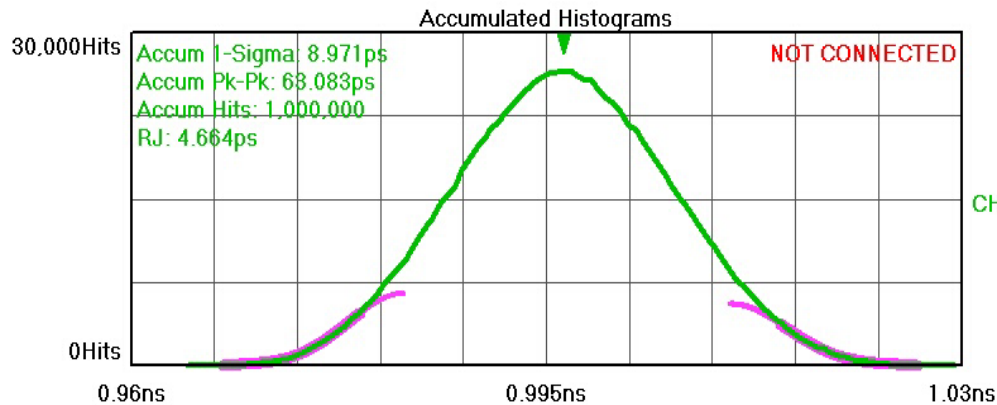




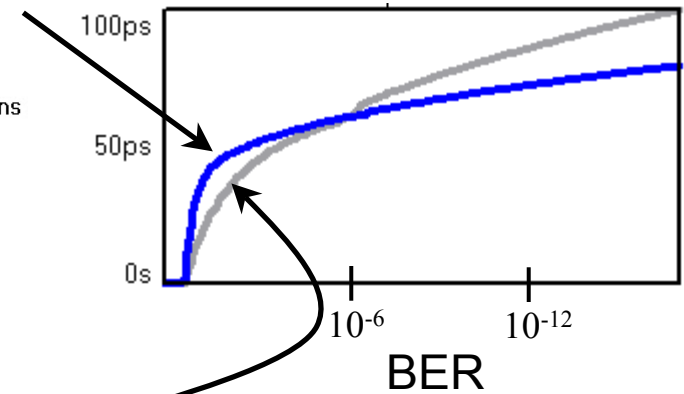
# What clock has better performance?



## Clock with DJ



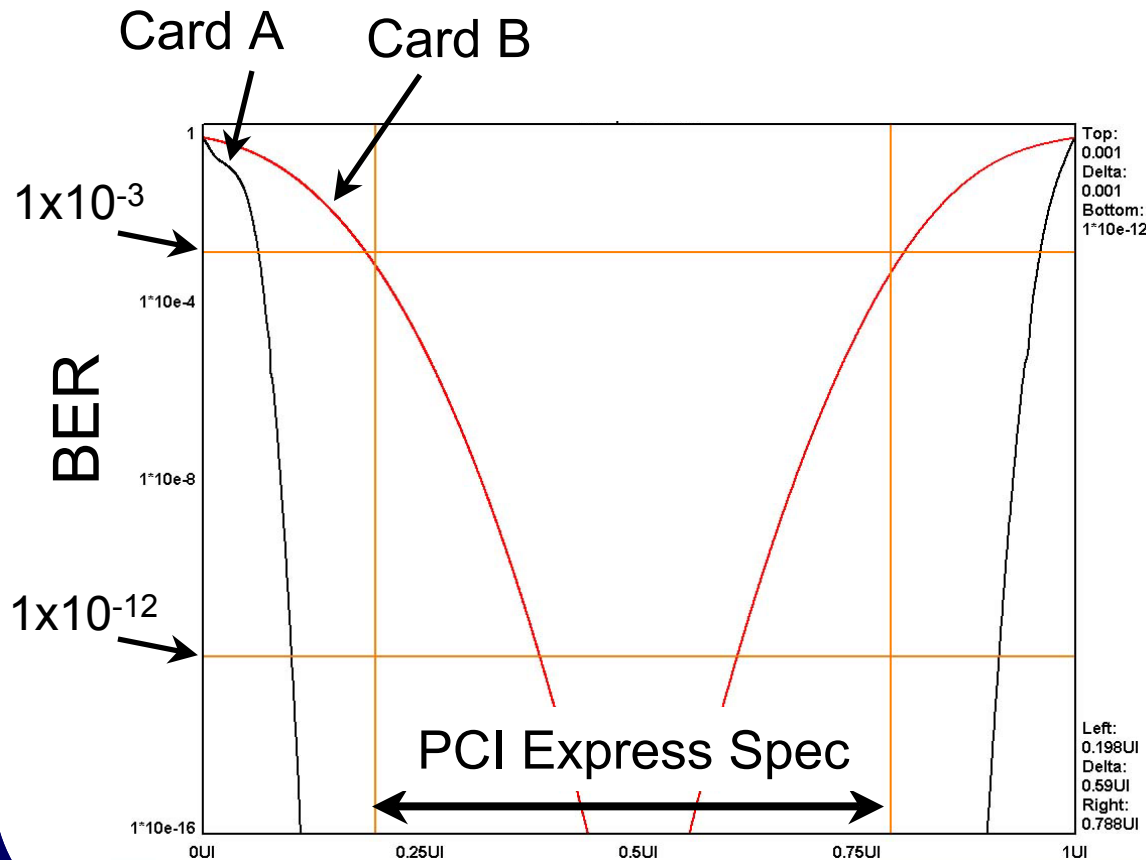
## Clock with RJ





## Why are statistics so important?

Because with insufficient statistics you can pass BAD parts



- Add in card example
- Card B had link training problems
- Sample size of 1000 from software compliance measurement on a real time oscilloscope-**both parts PASS**
- Comprehensive test to actual BER specification indicates part B **FAILS**



## Examples of Crystal Oscillator Specifications

RMS Jitter, Output=12.0-77.760 MHz			3		ps
------------------------------------	--	--	---	--	----

### Jitter

Absolute:  $\pm 100$  pSec Maximum  
One Sigma:  $\pm 25$  pSec Maximum

Jitter *4	tDJ	0.2 ps Typ.	Deterministic Jitter
	tRJ	3 ps Typ.	Random Jitter
	tRMS	3 ps Typ.	$\sigma$ (RMS of total distribution)
	t <sub>p-p</sub>	25 ps Typ.	Peak to Peak
	tacc	4 ps Typ.	Accumulated Jitter ( $\sigma$ ) n = 2 to 50000 cycles

***And some companies do not specify jitter!!!***



<b>Parameter</b>	<b>Benefits</b>	<b>Drawbacks</b>
pk-pk measurement of a histogram	<ul style="list-style-type: none"><li>•Provides a number</li></ul>	<ul style="list-style-type: none"><li>•Measurement must be stated with sample size and setup conditions.</li><li>•Measurement less repeatable than <math>\sigma</math></li></ul>
Standard deviation ( $\sigma$ )	<ul style="list-style-type: none"><li>•Measurement parameter is repeatable</li><li>•Can be used to calculate pk-pk jitter as a function of BER or probability level</li></ul>	<ul style="list-style-type: none"><li>•Useful only for Gaussian distributions</li></ul>
Quantifying random and deterministic components	<ul style="list-style-type: none"><li>•Can be used to calculate pk-pk jitter as a function of BER or probability level for any shape of histogram.</li><li>•The magnitude of the components provides diagnostic information</li></ul>	



- How do you characterize a histogram? ***By quantifying its components***
- Is pk-pk a good metric? ***In general, No***
- Is the standard deviation a good metric? ***For Gaussian distributions***
- How do different shaped distributions affect the Total Jitter value? ***It depends on the contribution from the jitter components***
- How do you accurately determine device performance and reliability? ***By quantifying its components and calculating long term performance***



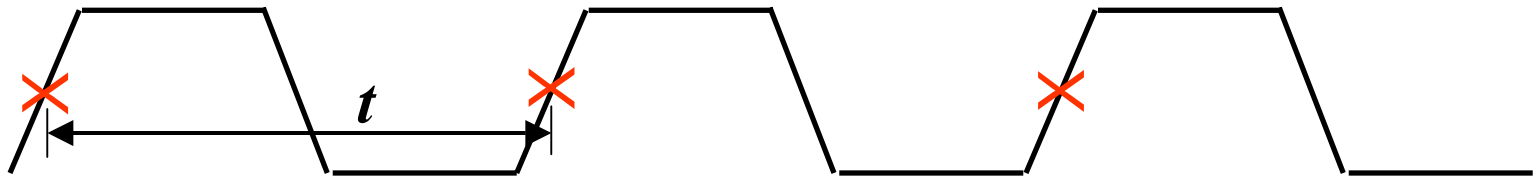
## II. Types of Jitter measurements

- Should I perform a phase (accumulated jitter), period or cycle-to-cycle jitter measurement?
- Why do these measurements give different numbers for the same signal?
- Does the measurement emulate the device?

***Phase, Period and Cycle-to-Cycle Jitter***



- Assume test instrument that measures threshold crossings

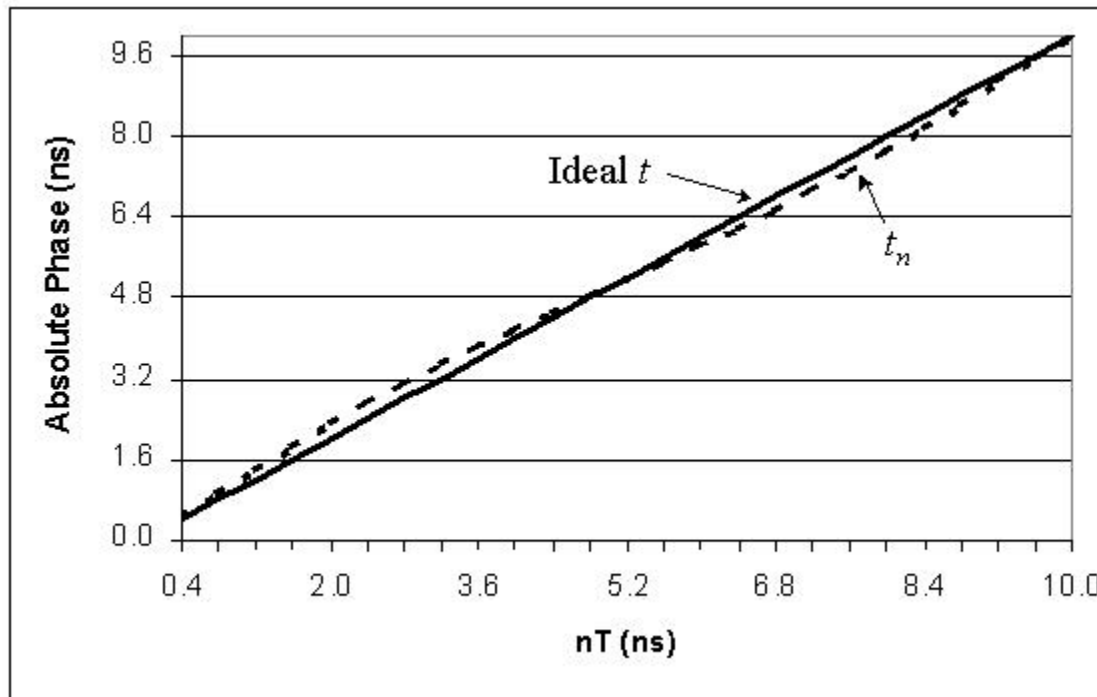


- A sinusoidal term has been added to the ideal waveform
- 400 ps ideal time intervals (PCI Express)





## Types of Jitter measurements: Phase Jitter



Ideal waveform and one with a sinusoidal term added



## Types of Jitter measurements: Phase Jitter

- Phase jitter is the difference between the measured time and the ideal time

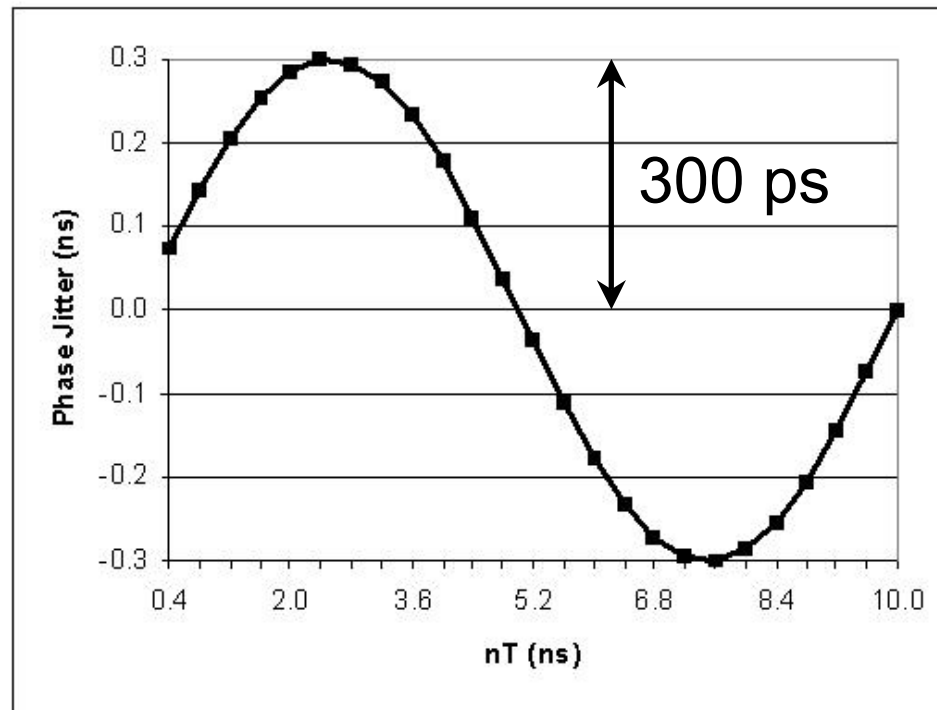
$$\Phi_n = t_n - nT, \quad n = 1, 2, 3, \dots$$

- The time error accumulates for increasing bit periods
- Phase jitter is also known as accumulated jitter



## Types of Jitter measurements: Phase Jitter

- For example, 100 MHz sinusoidal term a magnitude of 300 ps on a signal with a unit interval of 400 ps



Phase Jitter



## Types of Jitter measurements: Period Jitter

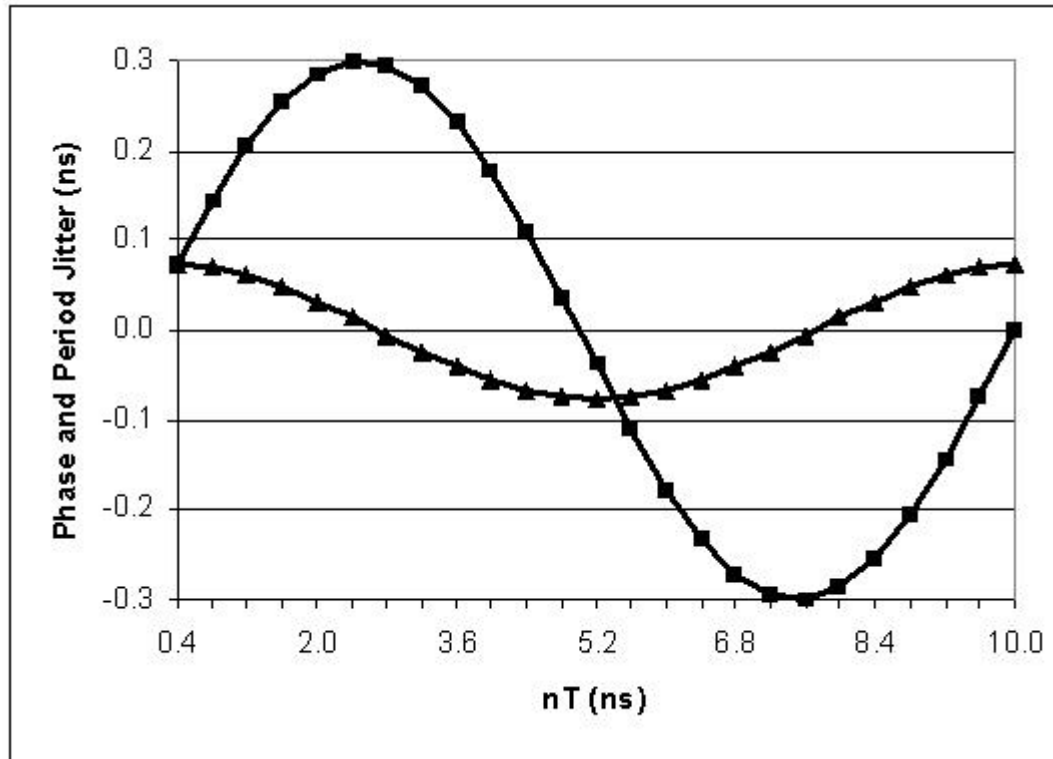
- Period jitter is the difference between the measured period and the ideal Period

$$\Phi'_n = (t_n - t_{n-1}) - T \quad n = 1, 2, 3, \dots$$

- Period jitter is also the first difference of the phase jitter



## Types of Jitter measurements: Period Jitter



Phase (■) and Period Jitter (▲)



## Types of Jitter measurements: Cycle-to-Cycle Jitter

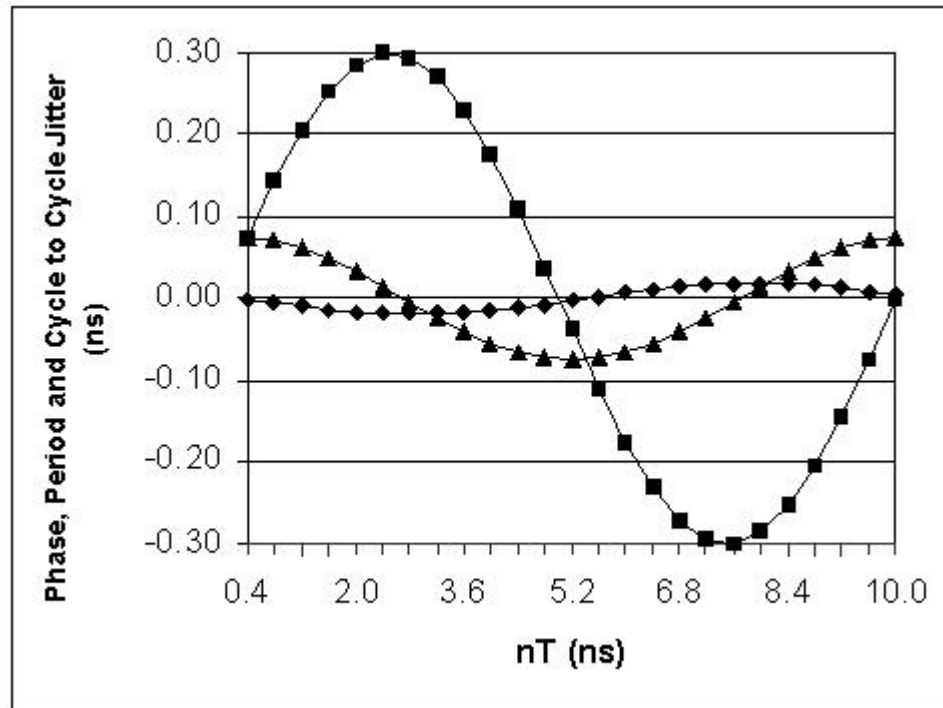
- Cycle-to-cycle jitter is the difference between the consecutive bit periods

$$\Phi''_n = (t_n - t_{n-1}) - (t_{n-1} - t_{n-2}), \quad n = 1, 2, 3, \dots$$

- Cycle-to-cycle jitter is also the first difference of period jitter and second difference of phase jitter



## Types of Jitter measurements: Cycle-to-Cycle Jitter



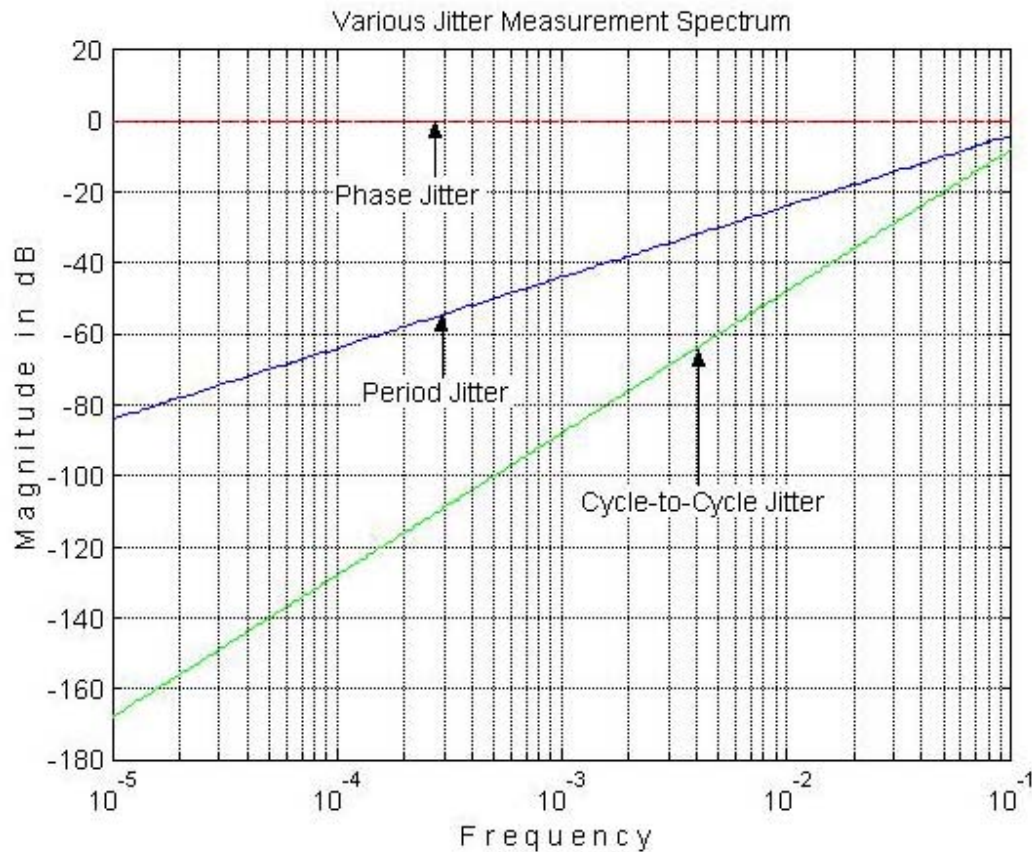
Phase (■) and Period Jitter (▲) and cycle-to-cycle jitter (◆)

The magnitude of the sinusoidal error decreases from phase to period to cycle-to-cycle jitter



# Types of Jitter measurements

Each measurement type has a different frequency response



- Period jitter rolls off at  $\sim 20$  dB/decade
- Cycle-to-cycle rolls off at  $\sim 40$  dB/decade





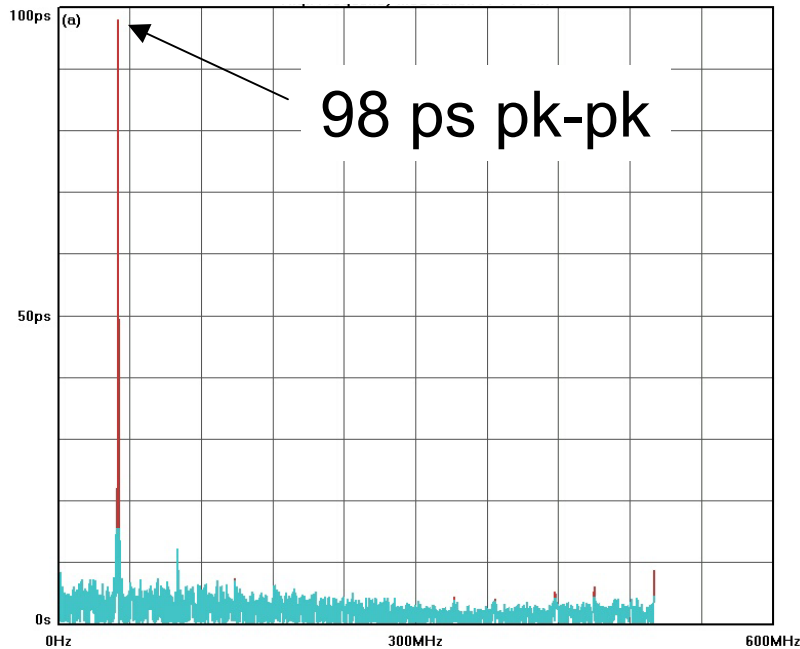
## Types of Jitter measurements

- The three preceding examples show different representations of the same data
- The measurement you make depends on your application
  - For clocks and PLL's phase jitter would be appropriate because the output is proportional to the phase error of the reference and output signal
  - For diagnosing modulation or crosstalk phase and/or period jitter is appropriate
  - Applications for cycle-to-cycle jitter????

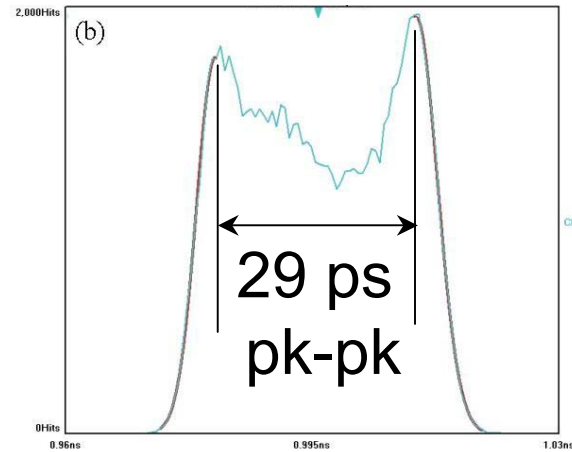


# Types of Jitter measurements; Examples

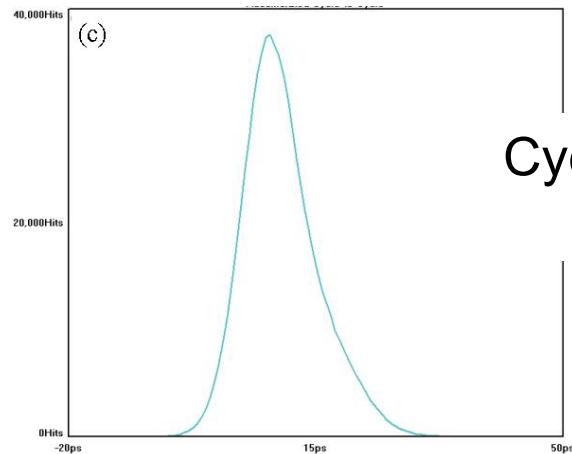
## 1 GHz clock with 50 MHz modulation



Accumulated Jitter



Period Jitter

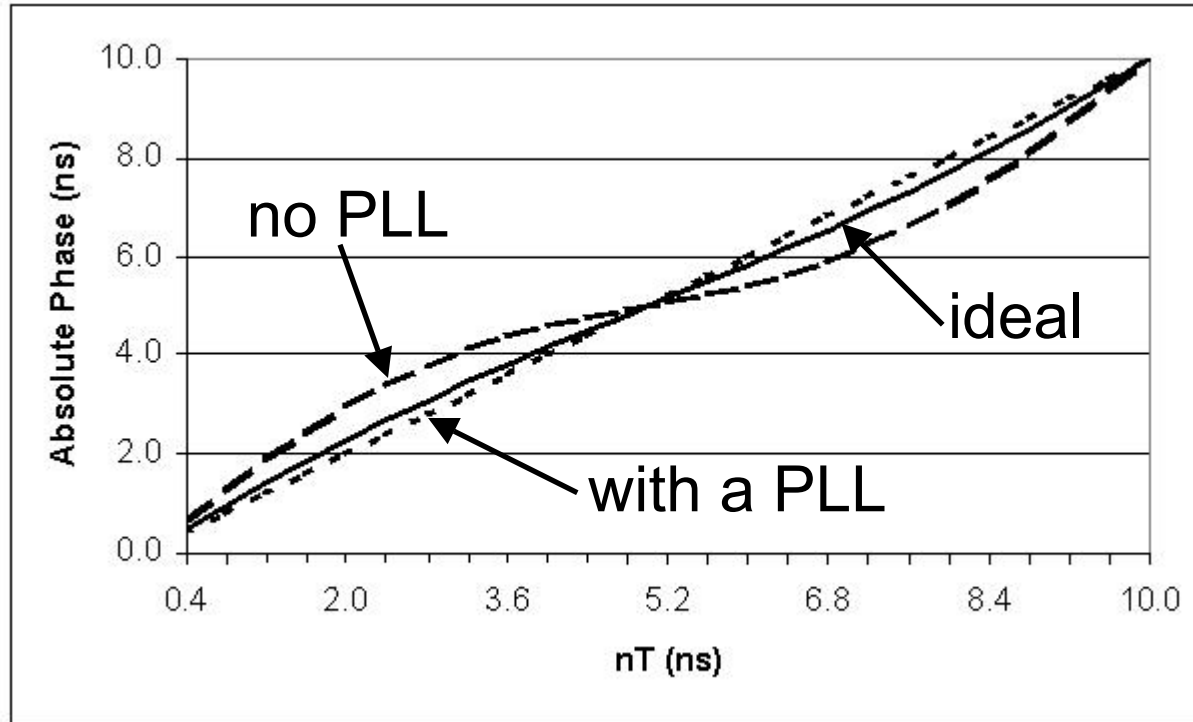


Cycle-to-cycle Jitter



## The effect of clock recovery in a system

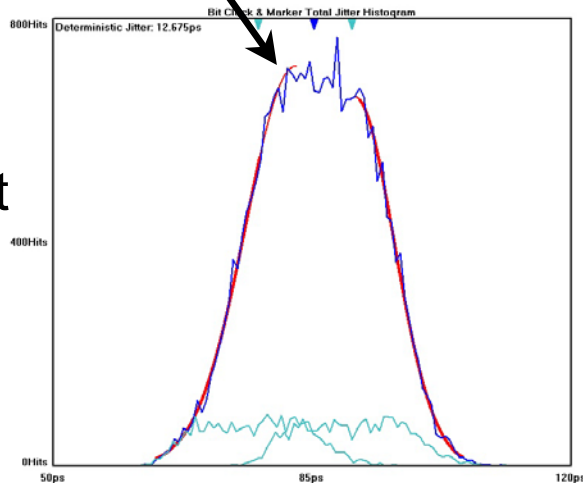
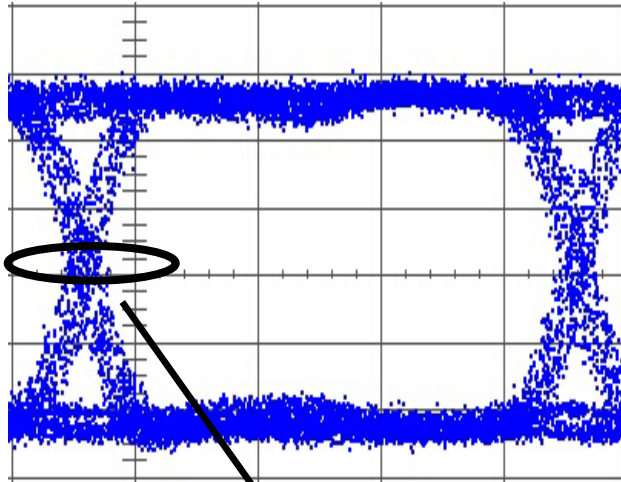
- Jitter seen by the receiver will have a high pass jitter transfer function
- The receiver will tolerate more low frequency jitter than high frequency jitter
- The frequency at which the PLL begins to track is the 3 dB or corner frequency,  $F_c$
- For many serial data standards it is  $F_c = \text{Data Rate}/1667$
- A system with clock recovery changes the Phase Jitter measurement



The magnitude of phase jitter is reduced or rejected by the clock recovery scheme-*this has implications for the measurements and instrumentation*

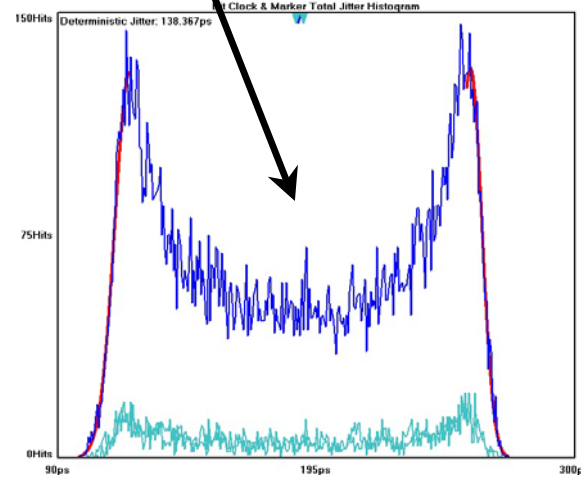
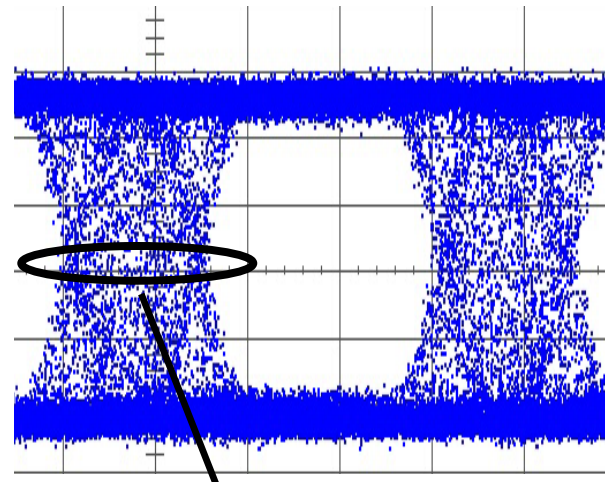


## Effect of clock recovery on your measurements



DJ=12.6 ps

With a compliant clock



DJ=138 ps

Without a compliant clock



## Types of Jitter measurements: Data

- There are a variety of jitter measurement methods available today and they may not provide the same answer
- Test instruments may perform a jitter measurement with a software based clock, a noncompliant or compliant clock
- The measurement could be accomplished on two channels (clock and data) or the data alone
- For datacom applications, typically the clock is recovered from the data and used for timing the data, perform the analysis that emulates your device
- The methodology with the lowest jitter is not necessarily the best



# Types of Datacom Measurements



Data

Clock



- Should I perform a phase (accumulated jitter), period or cycle-to-cycle jitter measurement?  
***Depends on the application***
- Why do these measurements give different numbers for the same signal? ***Each measurement has a different frequency response***
- Does the measurement emulate the device?  
***Choose a measurement that fits your application***

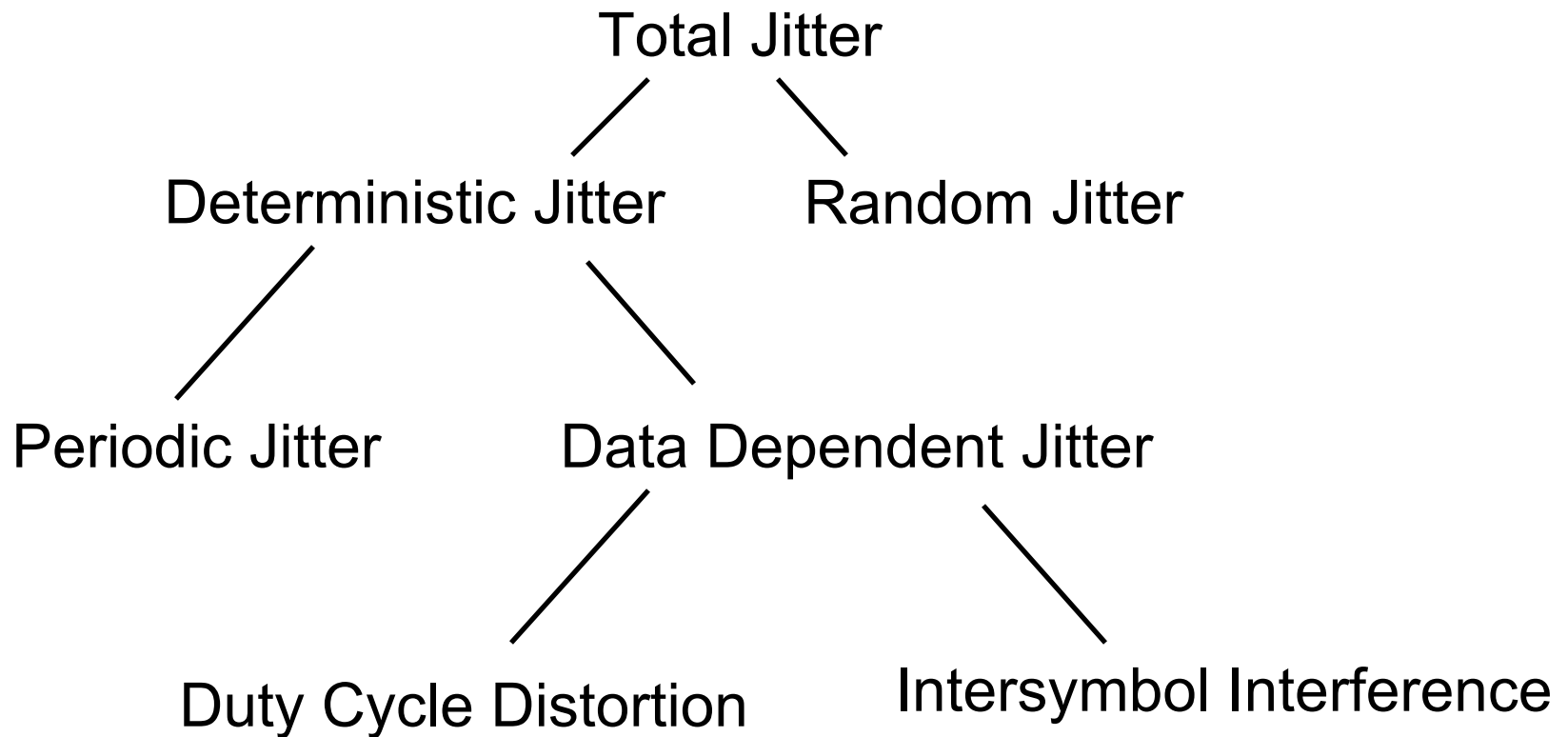




## IV. Types of Jitter and Noise



# Total Jitter Is Composed of Many Components



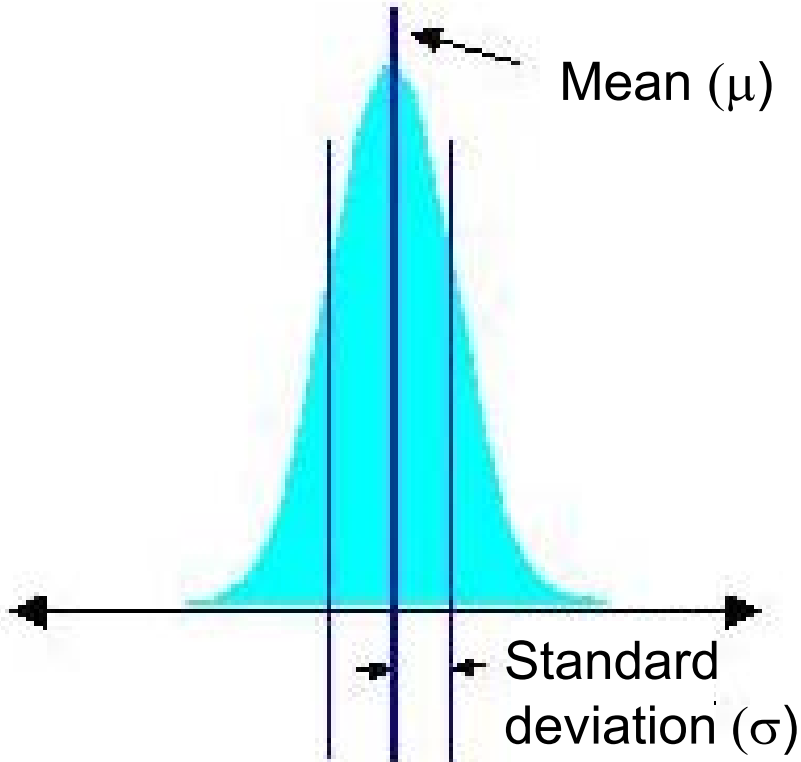


# Random Jitter

- Random jitter (RJ) is characterized by a Gaussian distribution and assumed to be unbounded
- The distribution is quantified by the standard deviation ( $\sigma$ ) and mean ( $\mu$ )
- Since RJ can be modeled as a Gaussian distribution it can be used to predict pk-pk jitter as a function of BER



# Random Jitter



BER	TJ Value
$1.3 \times 10^{-3}$	$6\sigma$
$3.17 \times 10^{-5}$	$8\sigma$
$2.87 \times 10^{-7}$	$10\sigma$
$9.87 \times 10^{-9}$	$12\sigma$
$1.28 \times 10^{-12}$	$14\sigma$
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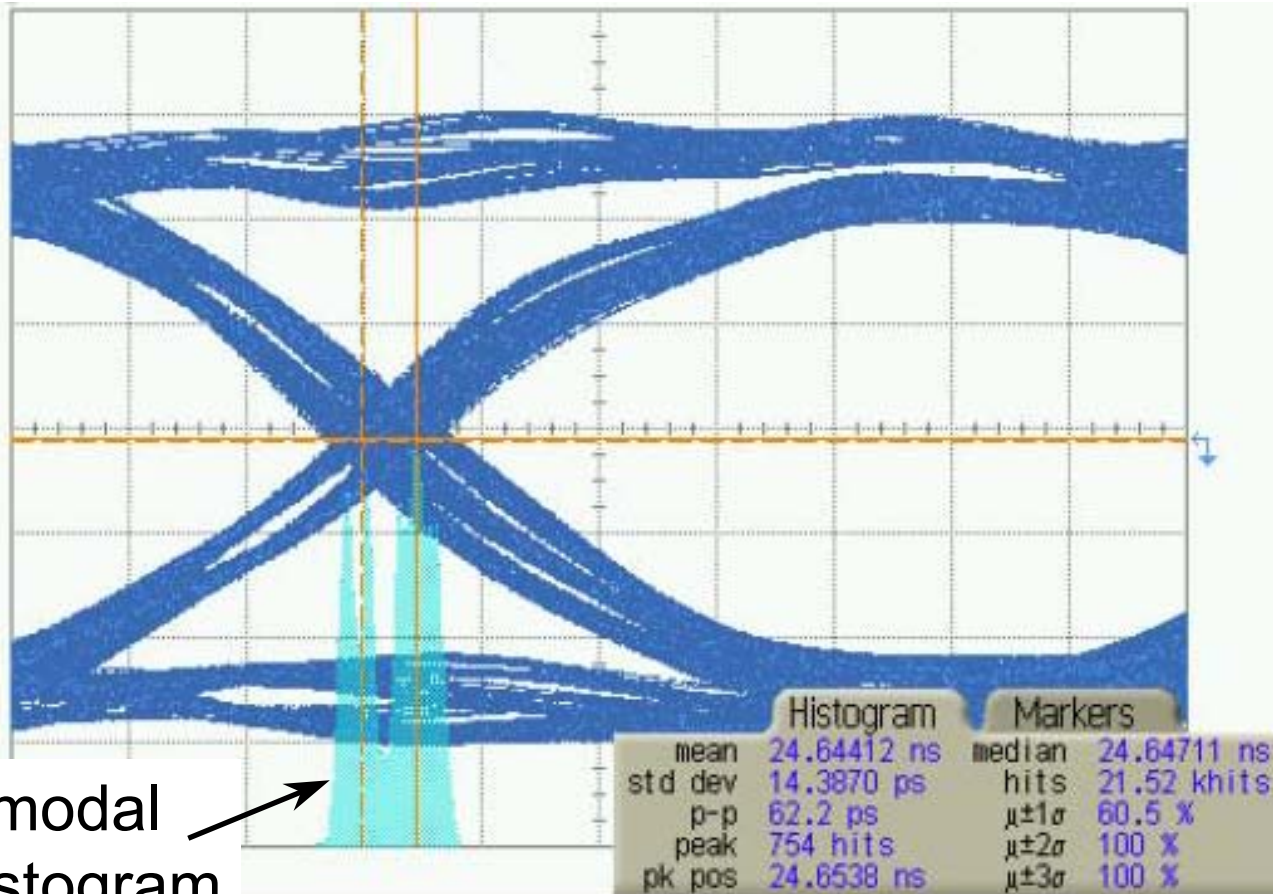
- The Catch...

This use of Standard Deviation ( $\sigma$ ) is only valid in pure Gaussian distributions. If any deterministic components exist in the distribution, the use of  $\sigma$  for the estimation of probability of occurrence is invalid.



# Random Jitter

What happens when the distribution isn't Gaussian?



Bimodal  
Histogram

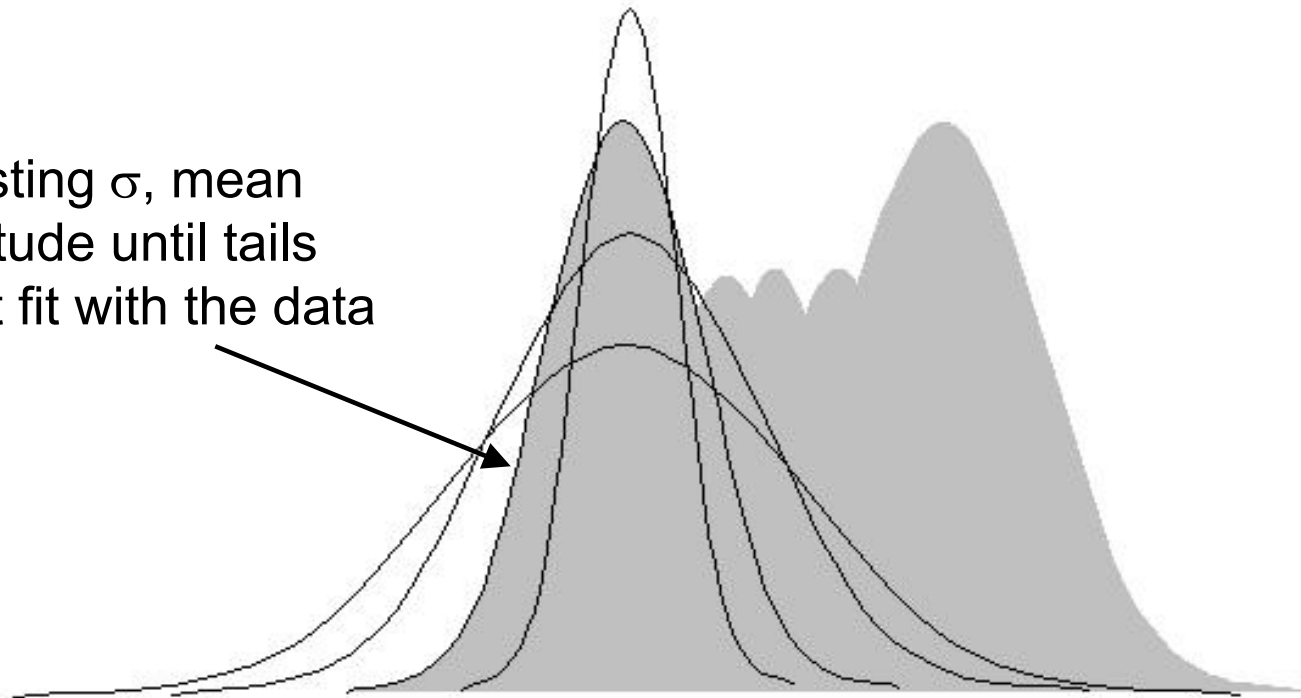




# Measuring Random Jitter

Fit Gaussian tails to left and right side of distribution, TailFit™

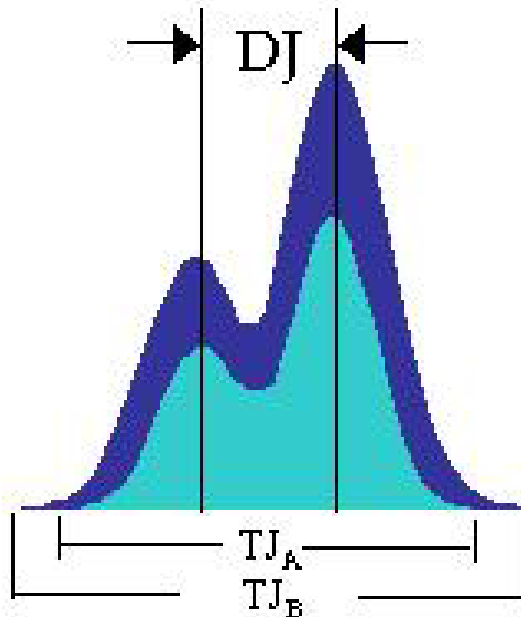
Keep adjusting  $\sigma$ , mean  
and magnitude until tails  
obtain best fit with the data





# Deterministic Jitter

- Deterministic jitter (DJ) has a non-Gaussian PDF and is characterized by its bounded pk-pk value
- DJ includes PJ, DCD and ISI



Histogram A



Histogram B:



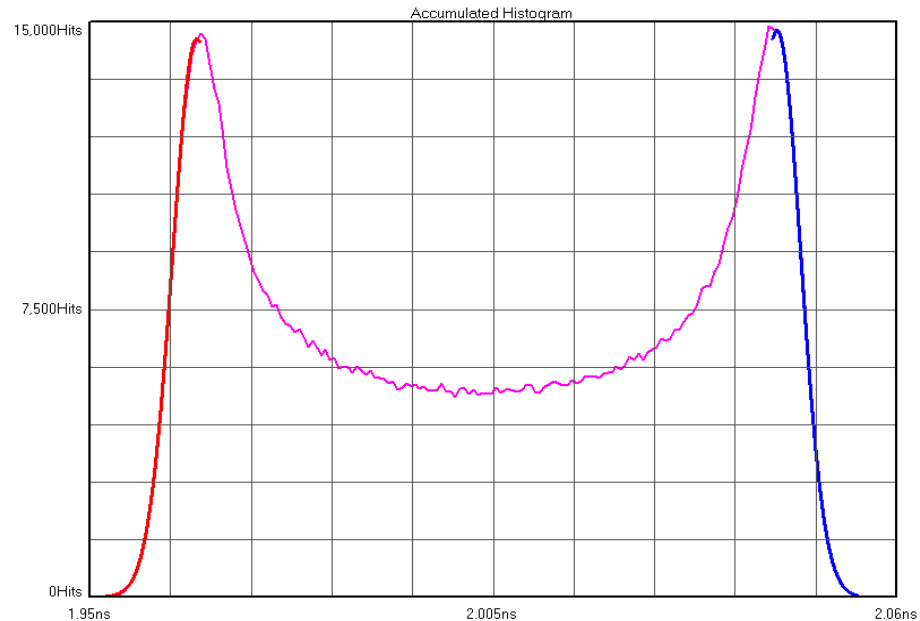
Same measurement  
with more samples



# Deterministic Jitter-PJ

- PJ also known as sinusoidal jitter and it repeats at a fixed frequency. PJ is quantified as a pk-pk number with a frequency and magnitude.
- PJ could be the result of unwanted modulation such as EMI.

500 MHz clock  
signal with PJ  
added







# Deterministic Jitter-DDJ

- DCD is the result of any difference in the mean time allocated for the logic states in an alternating bit sequence (e.g. 0,1,0,1).
- Different rise and fall times and threshold variations of a device could cause DCD.
- DCD and ISI are functions of the data history that occur when the transition density changes.
- It is the DCD and ISI caused by the time difference that is required for the signal to arrive at the receiver threshold when starting from different places within the bit sequence (symbol).
- ISI occurs when the transmission medium propagates the frequency components of data (symbols) at different rates. For example when jitter changes as a function of edge density.

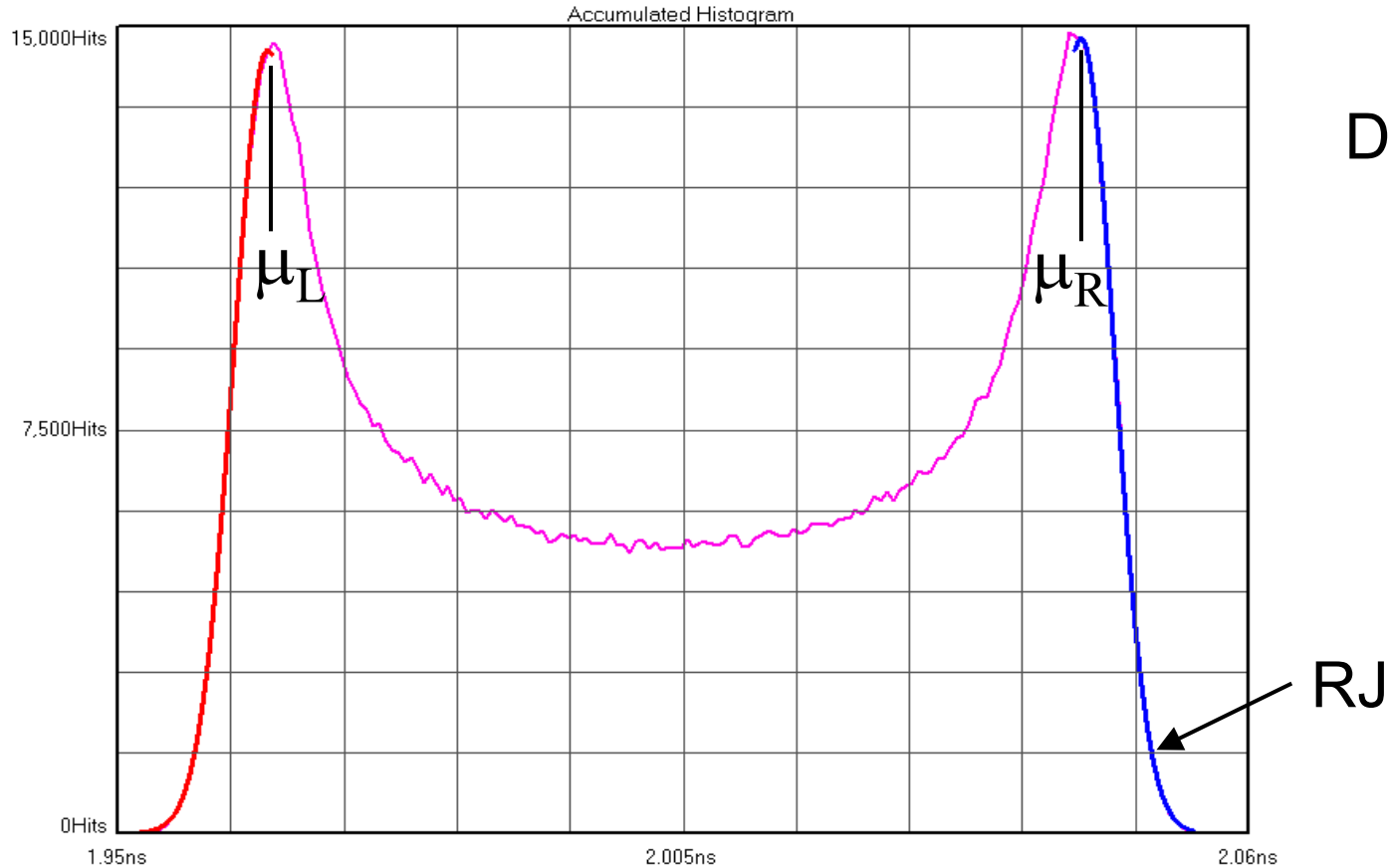


# Measuring Deterministic Jitter

- TJ histograms represents the TJ PDF, therefore if the DJ and RJ process are independent the total PDF is the convolution of the DJ and RJ PDF.
- Removing DJ would produce a Gaussian distribution
- Adding DJ to the histogram broadens the distribution while maintaining Gaussian tails, effectively separating the mean of the left and right distributions.
- Difference between the two means is the DJ and the tail portions represent the RJ component.



# Measuring Deterministic Jitter



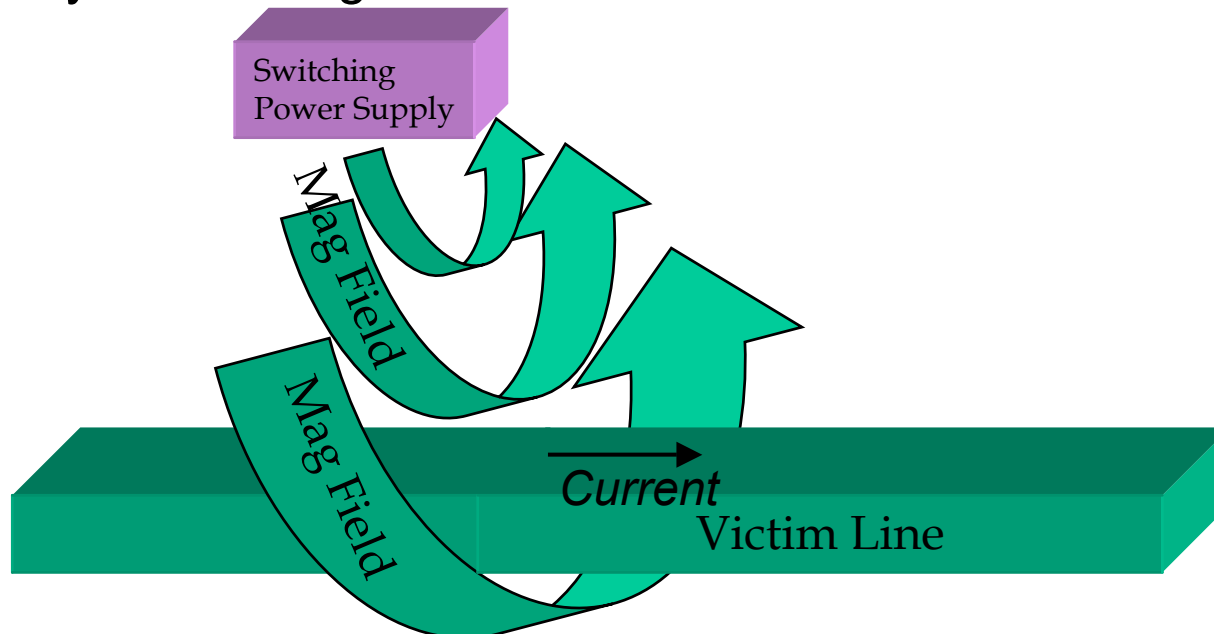
$$DJ = \mu_L - \mu_R$$

DJ is the difference between the two means  $\mu_L$  and  $\mu_R$



# Sources of Jitter-EMI

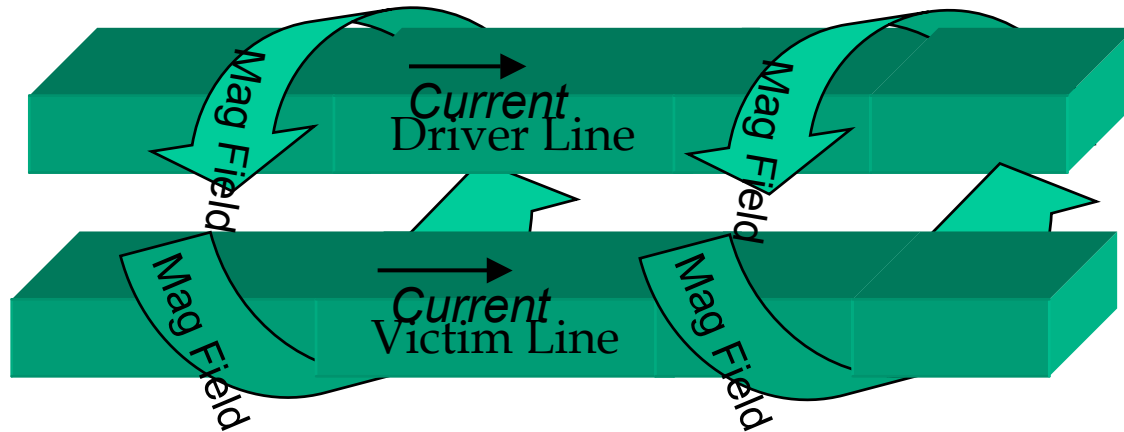
- Common sources of DJ include EMI, crosstalk and reflections
- EMI is the result of unwanted radiated or conducted emissions from a local device or system. For example a switching type power supply.
- EMI may also corrupt a ground reference plane or supply voltage plane by introducing transient noise currents.





# Sources of Jitter-Crosstalk and Reflections

- Crosstalk occurs when the magnetic or electric fields of a conductor are inadvertently coupled to an adjacent signal.



- Reflections in a data signal create DJ due to the signal interfering with itself. Reflections could be caused from impedance mismatches, uncontrolled stubbing and incorrect terminations.



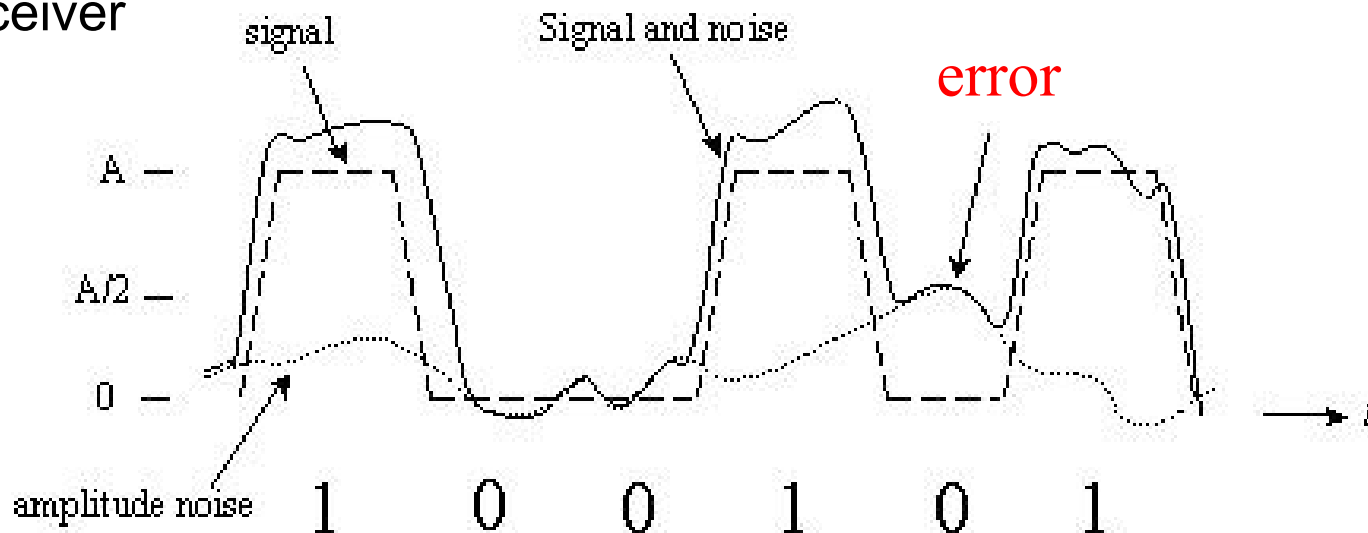
# Sources of Jitter-RJ

- Common sources of RJ include shot noise, flicker noise and thermal noise
- Shot noise is broadband “white” noise generated when electrons and holes move in a semiconductor. Shot noise amplitude is a function of average current flow.
- Flicker noise has a spectral distribution that is proportional to  $1/f$ . The origin of flicker noise is a surface effect due to fluctuations in the carrier density as electrons are randomly captured and emitted from oxide interface traps.
- Thermal noise can be represented by broadband “white” noise, and has flat spectral density. It is generated by the transfer of energy between “free” electrons and ions in a conductor.



# Amplitude Noise

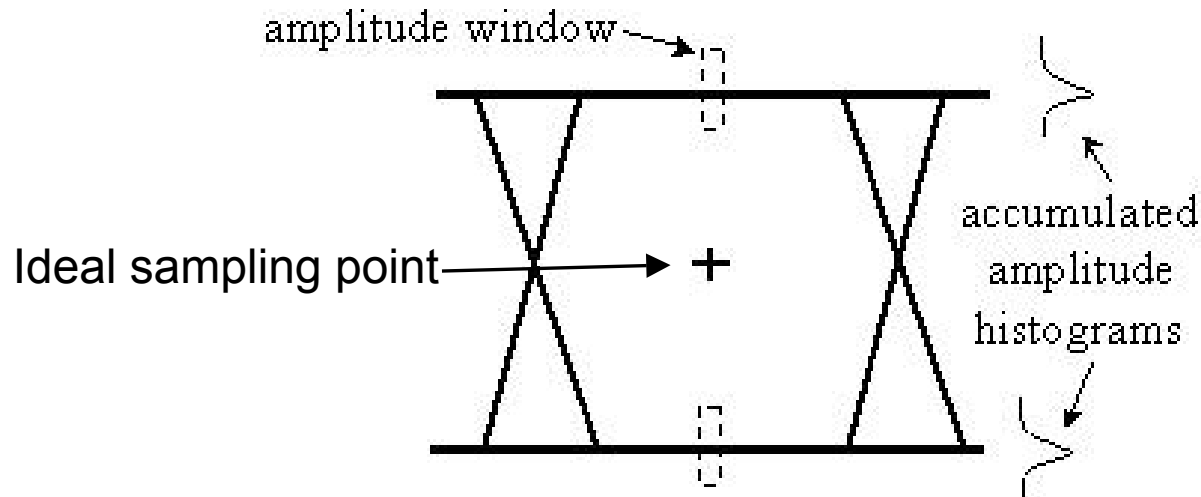
- Amplitude noise is present in all data signals and has random and deterministic sources. Common sources of random amplitude noise are thermal noise, shot noise, flicker noise and in optical systems noise due to lasers
- Random amplitude noise is assumed to have a Gaussian distribution and is unbounded. Random amplitude noise will become more dominant in low amplitude signals such as LVDS or signals at the receiver





# Amplitude Noise

- Effect of only random amplitude noise. What is the probability that a 1 will be below the sampling point and a 0 above the sampling point?



$$P_e = \frac{1}{2} \operatorname{erfc}(A/\sqrt{2}\sigma)$$

Where  $\operatorname{erfc}$  is the complementary error function,  $A$  is the amplitude and  $\sigma$  is the standard deviation

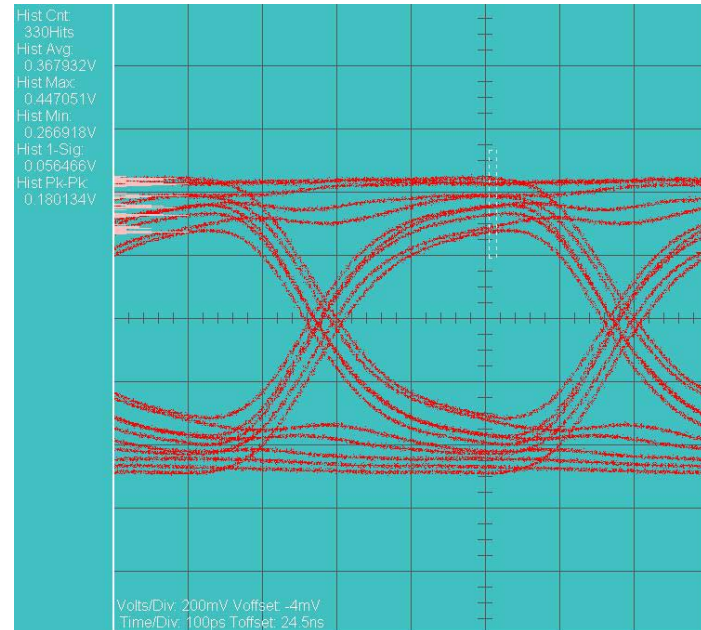
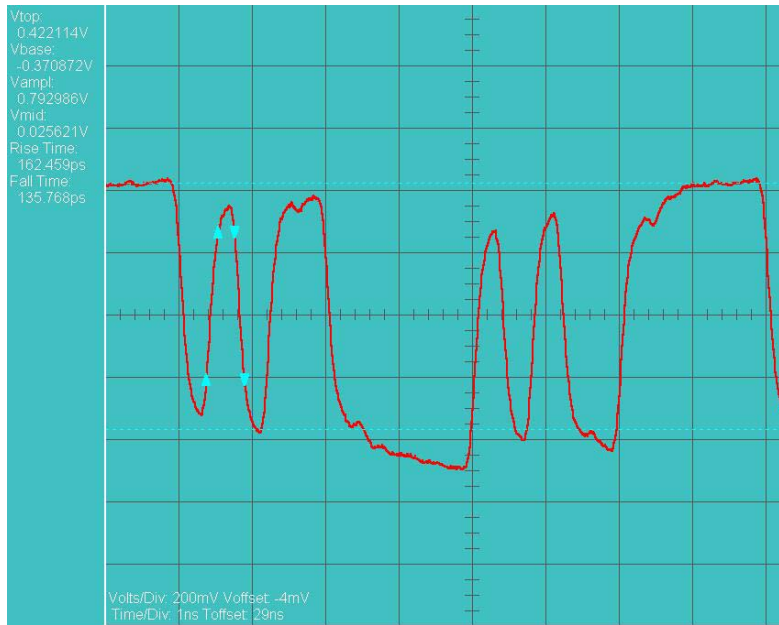
$P_e$  depends on the ratio of the amplitude to noise, i.e. the signal-to-rms noise ratio





# Amplitude Noise

- Deterministic noise sources may also be present. Typical deterministic noise sources include crosstalk, reflections, EMI, periodics and bandwidth limitations (ISI)



Example of a 2.5 Gb/s signal through 16" of a backplane



## V. Instrumentation for Measuring Signal Integrity

- Oscilloscopes
  - Sampling
  - Real time
- BERTs
- SIA's

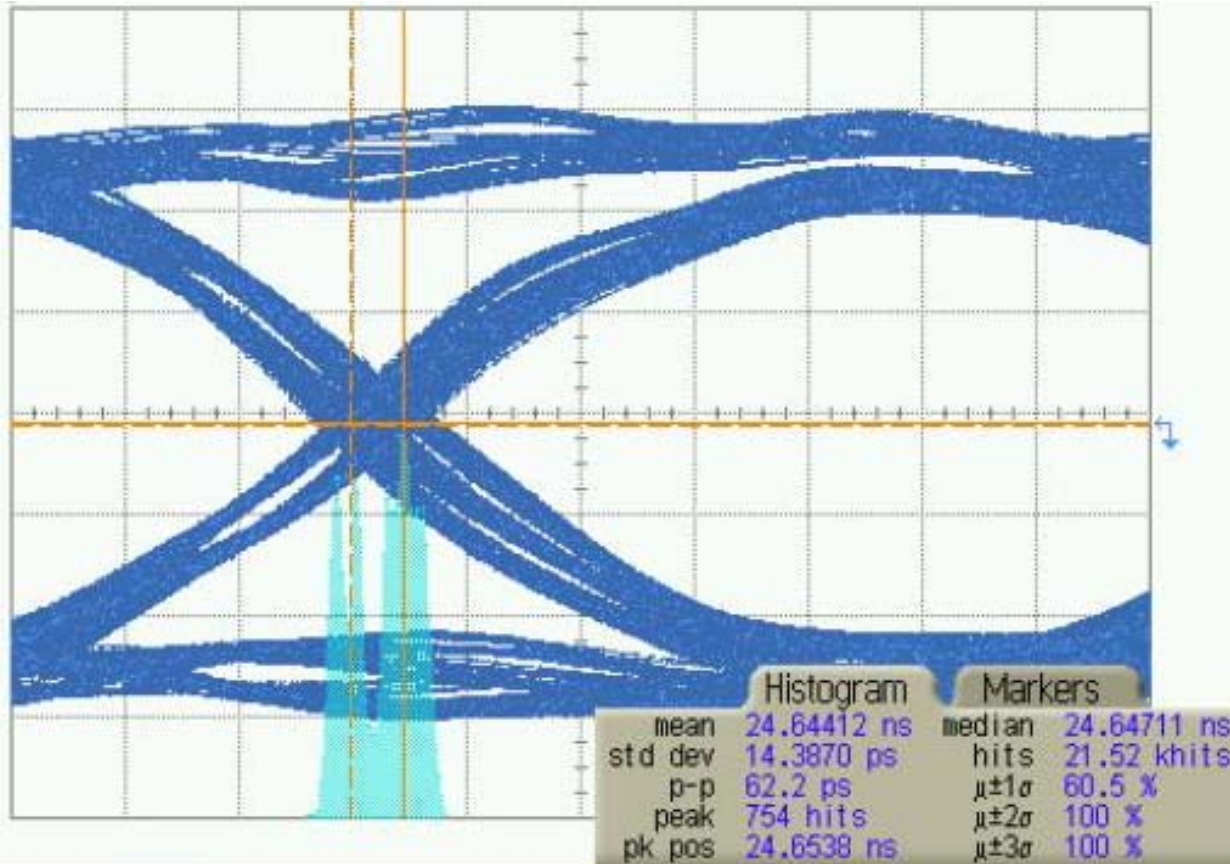


# Instrumentation for Measuring Signal Integrity-Sampling Oscilloscopes

- Digital sampling oscilloscopes-generally have a very high bandwidth, 30-50 GHz.
- For repetitive sampling oscilloscopes the input signal is sampled at a time interval to obtain the voltage level. The waveform is “built up” after repetitive samples of the signal.
- This type of oscilloscope requires a trigger signal to control the timing of the sampling process. Digital sampling oscilloscopes measure voltage and timing accurately and can create “eye diagrams” for tolerance testing.



# Instrumentation for Measuring Signal Integrity-Sampling Oscilloscopes

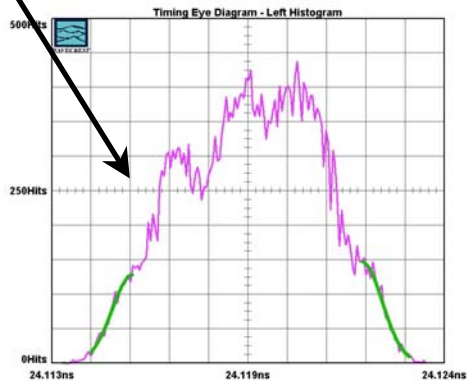
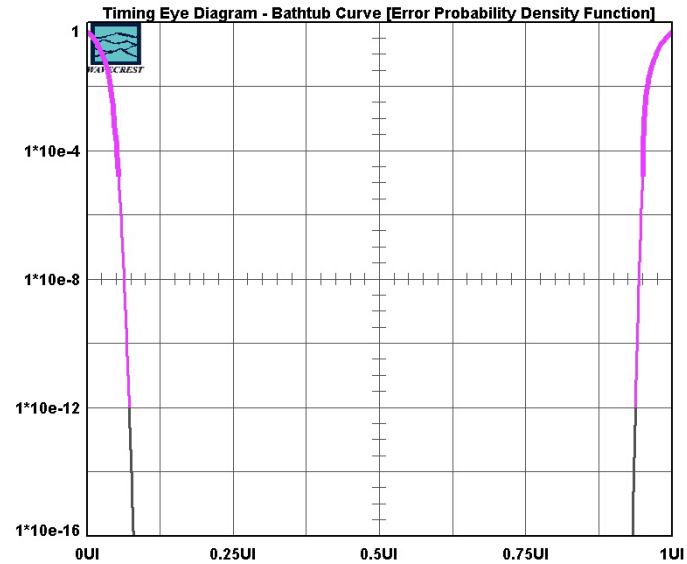
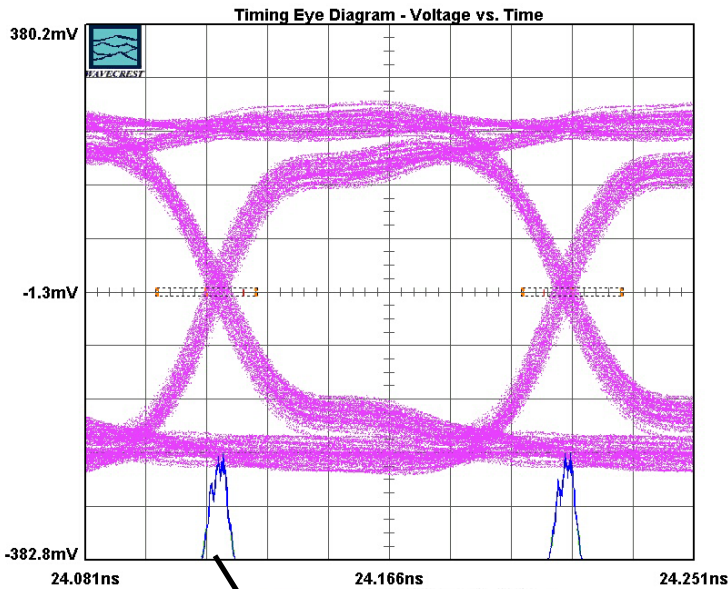


Determine:  
TJ for small  
sample size,  
std. dev., pk-pk

Voltage levels,  
rise and fall  
times, eye  
diagrams and  
eye mask



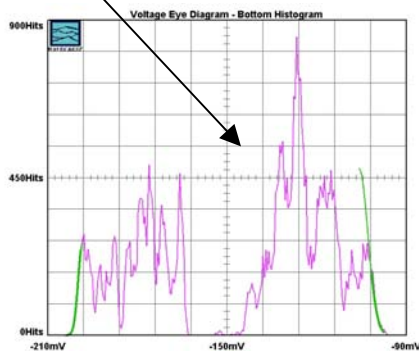
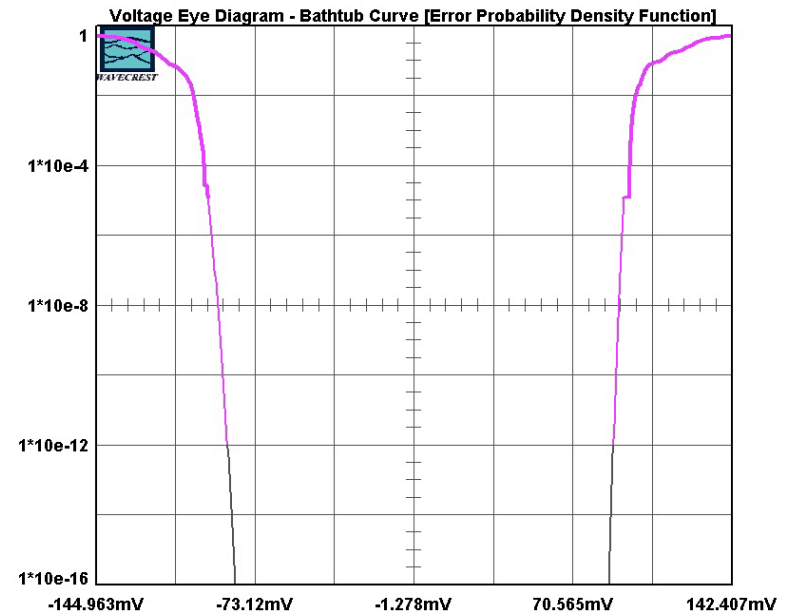
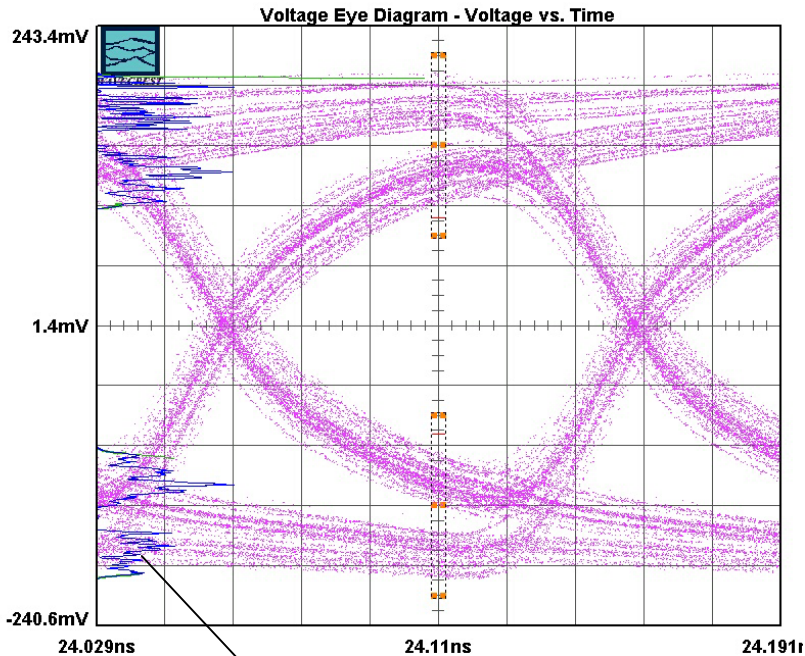
# Instrumentation for Measuring Signal Integrity-Sampling Oscilloscopes



For a comprehensive analysis of horizontal *and* vertical eye opening for a to  $10^{-16}$  BER use other software packages



# Instrumentation for Measuring Signal Integrity- Sampling Oscilloscopes



Vertical Eye opening  
to  $10^{-16}$  BER





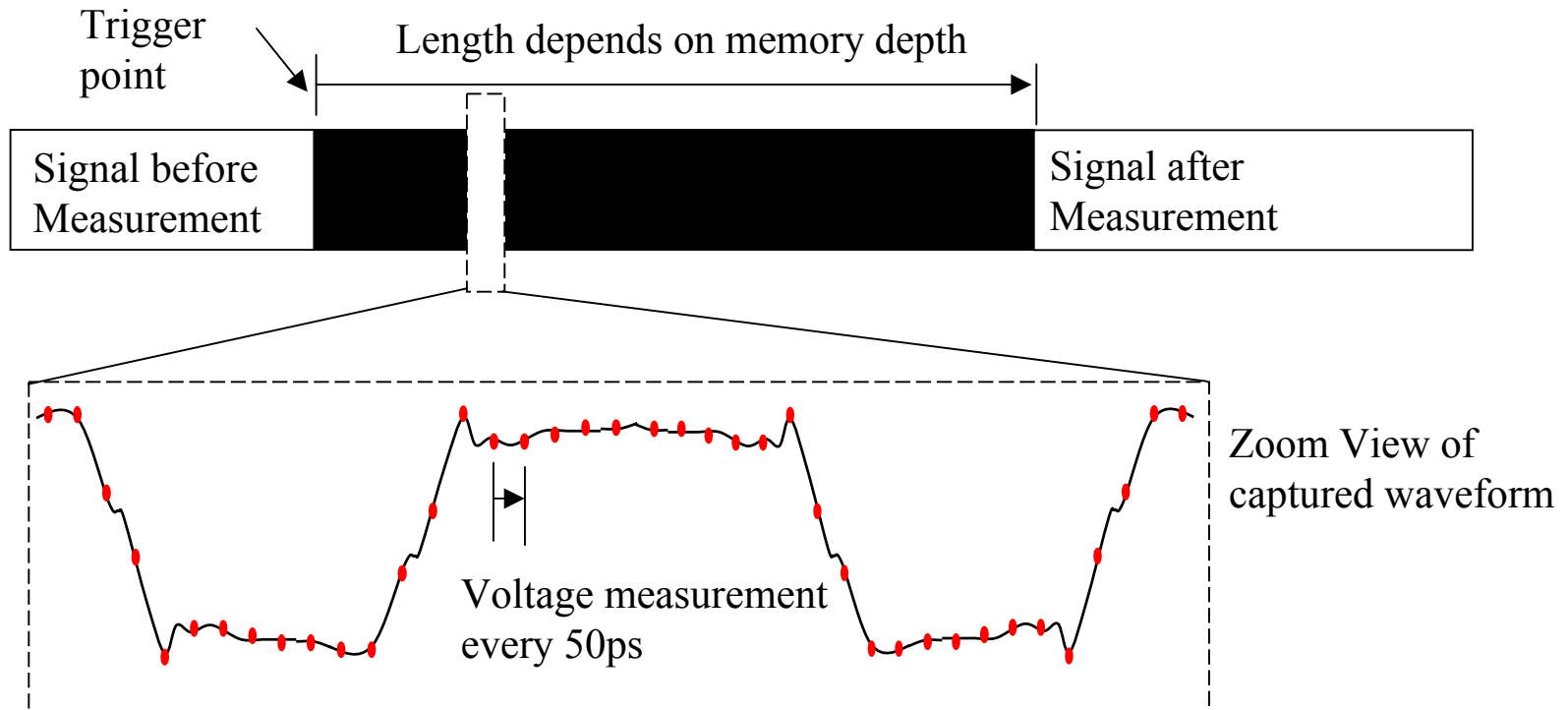
# Instrumentation for Measuring Signal Integrity-Real time oscilloscopes

- Real time oscilloscopes have bandwidths upto 6 GHz and acquire data upto 20 GSa/Sec (50 ps intervals). Bandwidth and sample rate sufficient for data upto 2.5 - 3 Gb/s.
- Maximum memory length of 96M or ~5 ms.
- Many companies claim capability of determining RJ, DJ, DCD, ISI, TJ. No correlation data or white papers on methodology yet.



# Instrumentation for Measuring Signal Integrity-Real time oscilloscopes

## Example of Real-time Data Acquisition Methodology

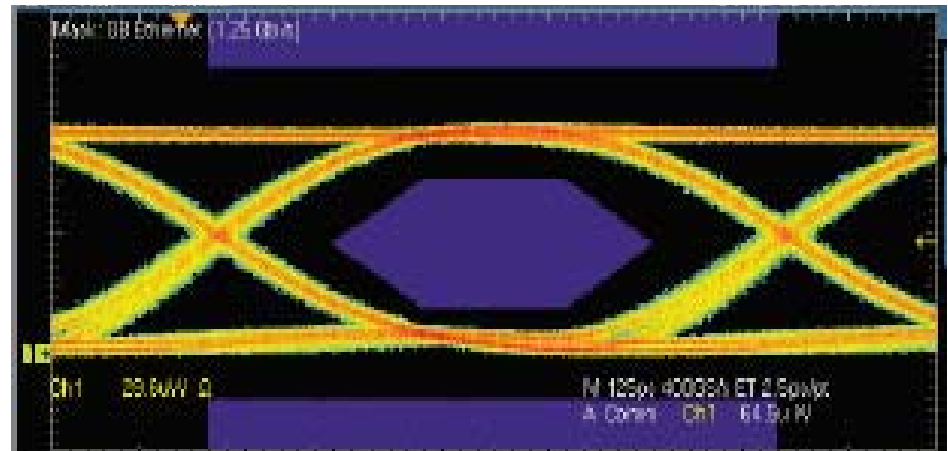






# Instrumentation for Measuring Signal Integrity-Real time oscilloscopes

Eye diagram analysis



Feature analysis



**Determine:**  
TJ, RJ, DJ,  
DCD, ISI, PJ  
and bathtub  
curve\*

\*No instrument correlation study yet

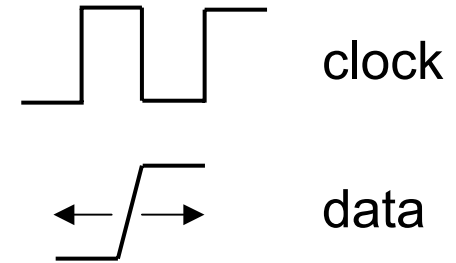
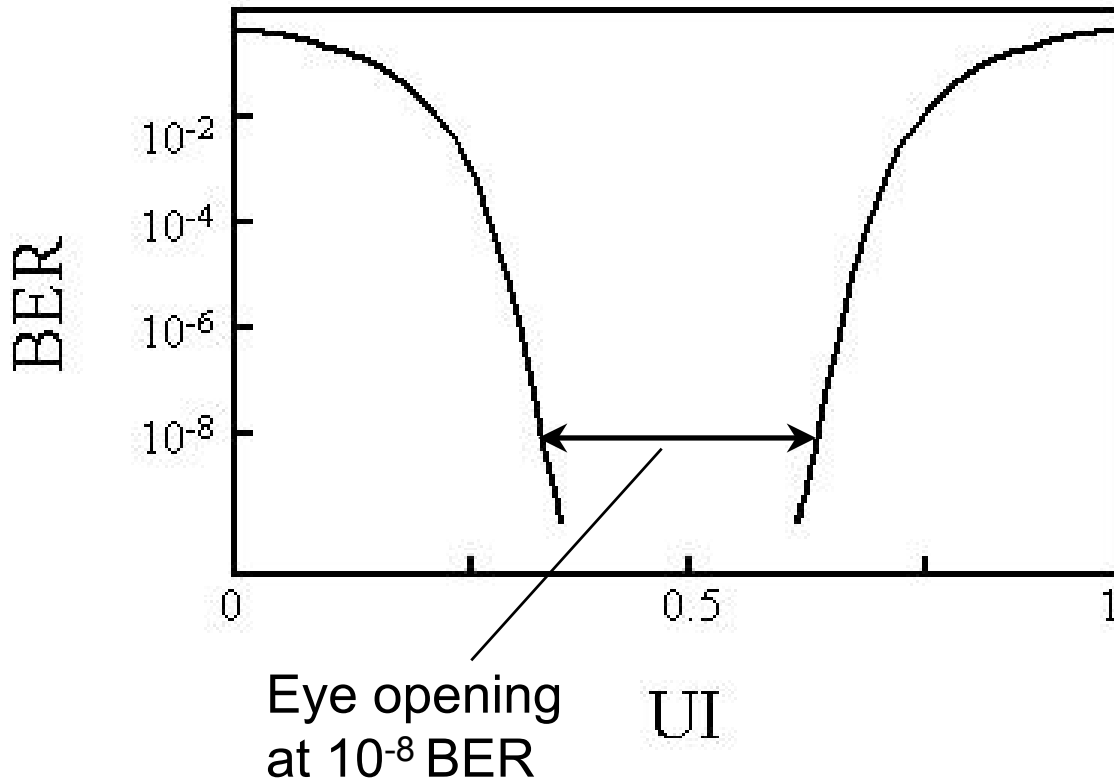


# Instrumentation for Measuring Signal Integrity-Bit Error Ratio Testers (BERTs)

- BERTs are comprised of two components, a pattern generator and an analyzer or error detector.
- BERT operates by transmitting a pattern to the device under test and the error detector analyzes and records the differences between the transmitted and received pattern.
- In order to obtain the amount of eye closure as a function of BER, the BERT must vary the data edge placement with respect to the clock edge in order to obtain a BER, this is commonly called the BERT scan technique.



# Typical data set from a BERT



**Determine:**  
TJ vs. BER, post processing software can determine RJ & DJ assuming double delta model

A bathtub curve showing BER as a function of eye closure



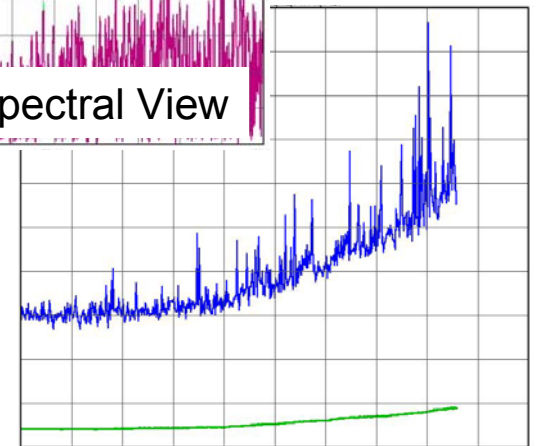
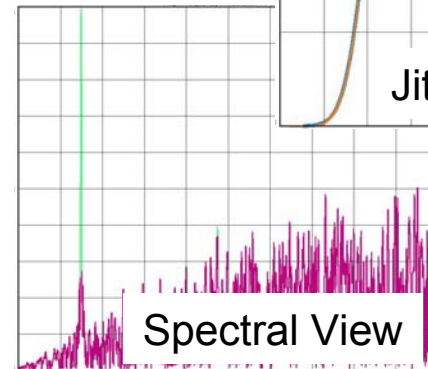
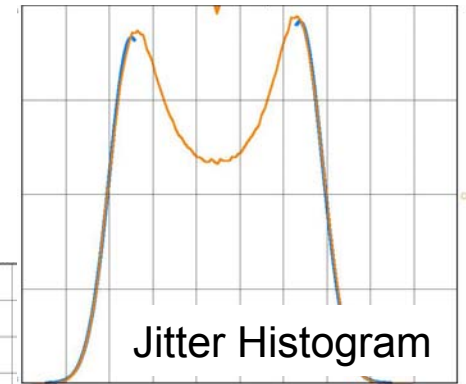
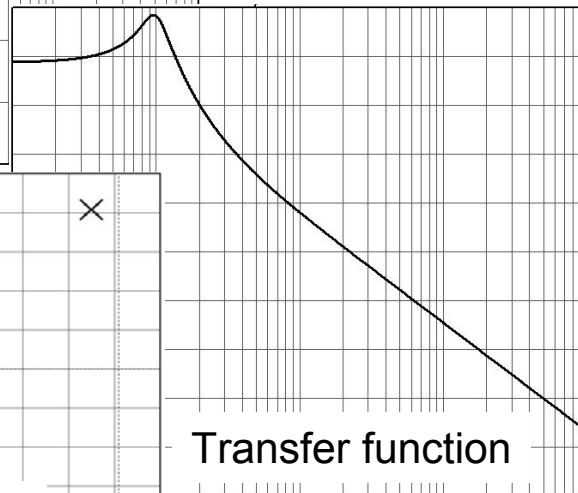
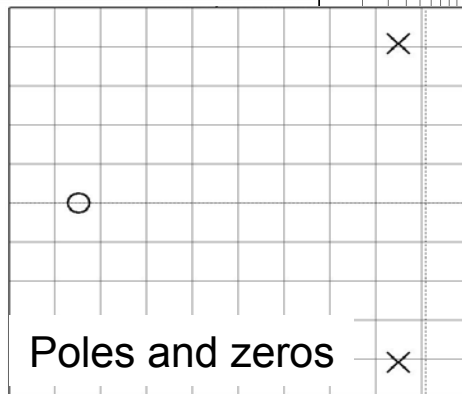
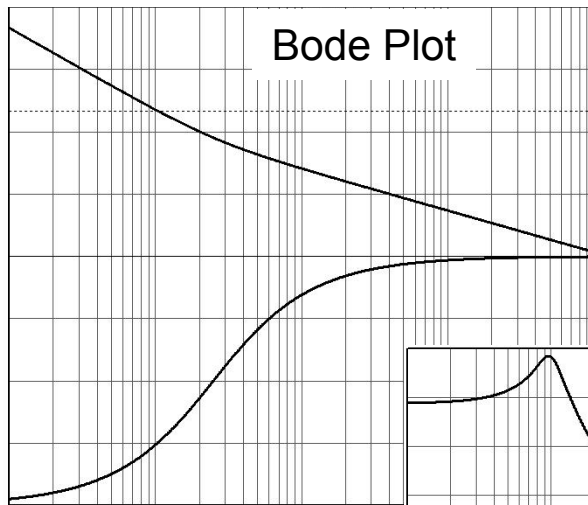
# Instrumentation for Measuring Signal Integrity-Signal Integrity Analyzers (SIA's)

- SIA's integrate capabilities from a variety of test instruments to provide application solutions. SIA's have the capabilities of an oscilloscope, time interval analyzer, can count bit errors and estimate BER. Can analyze up to 10 channels.
- The statistics of these measurements along with algorithms provide information on total jitter, deterministic jitter, random jitter, BER, propagation delay, skew, amplitude, rise/fall times...for clock and data applications



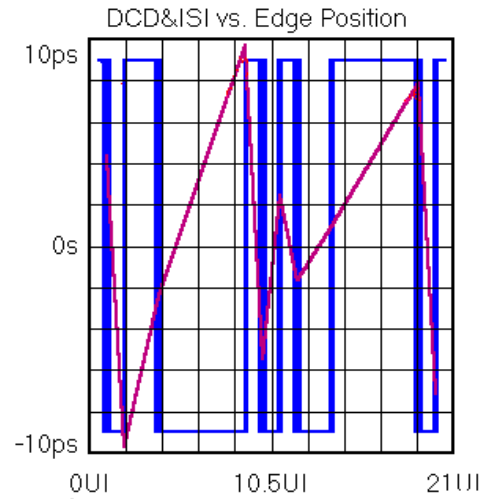
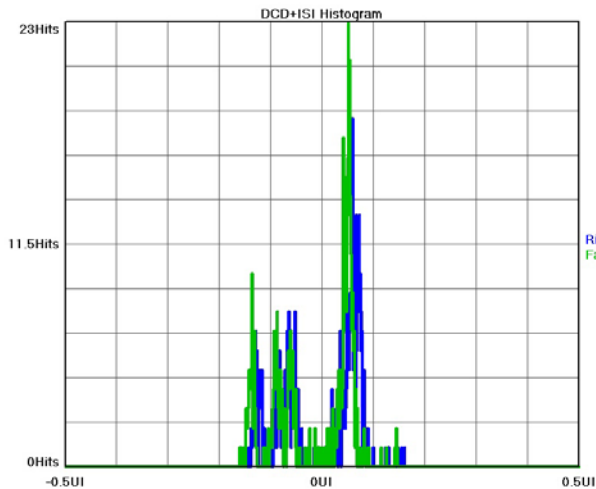
# Instrumentation for Measuring Signal Integrity-Signal Integrity Analyzers (SIA's)-Clocks/PLLs jitter

Jitter, spectral analysis, jitter vs. time, PLL characterization...



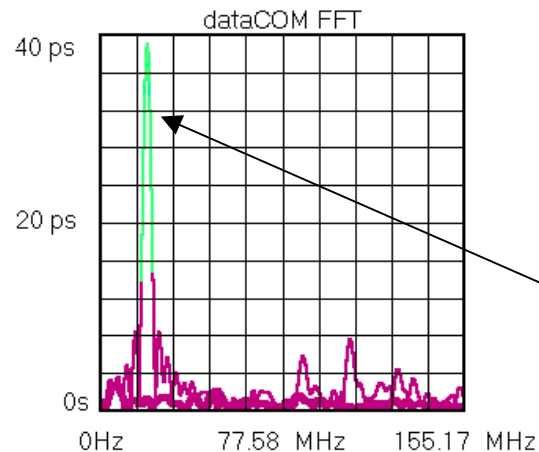
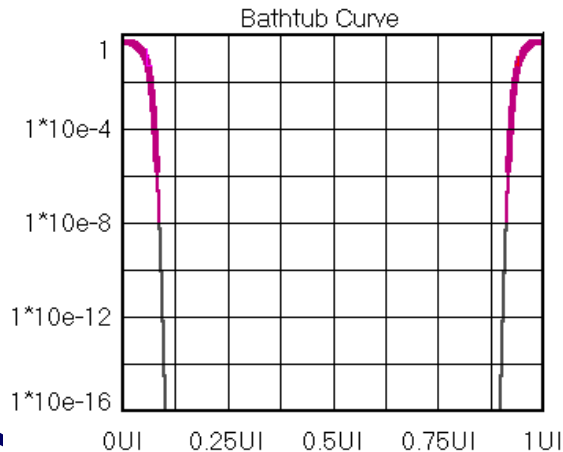


# Instrumentation for Measuring Signal Integrity-Signal Integrity Analyzers (SIA's)-DataCom Jitter



Repeating pattern and marker

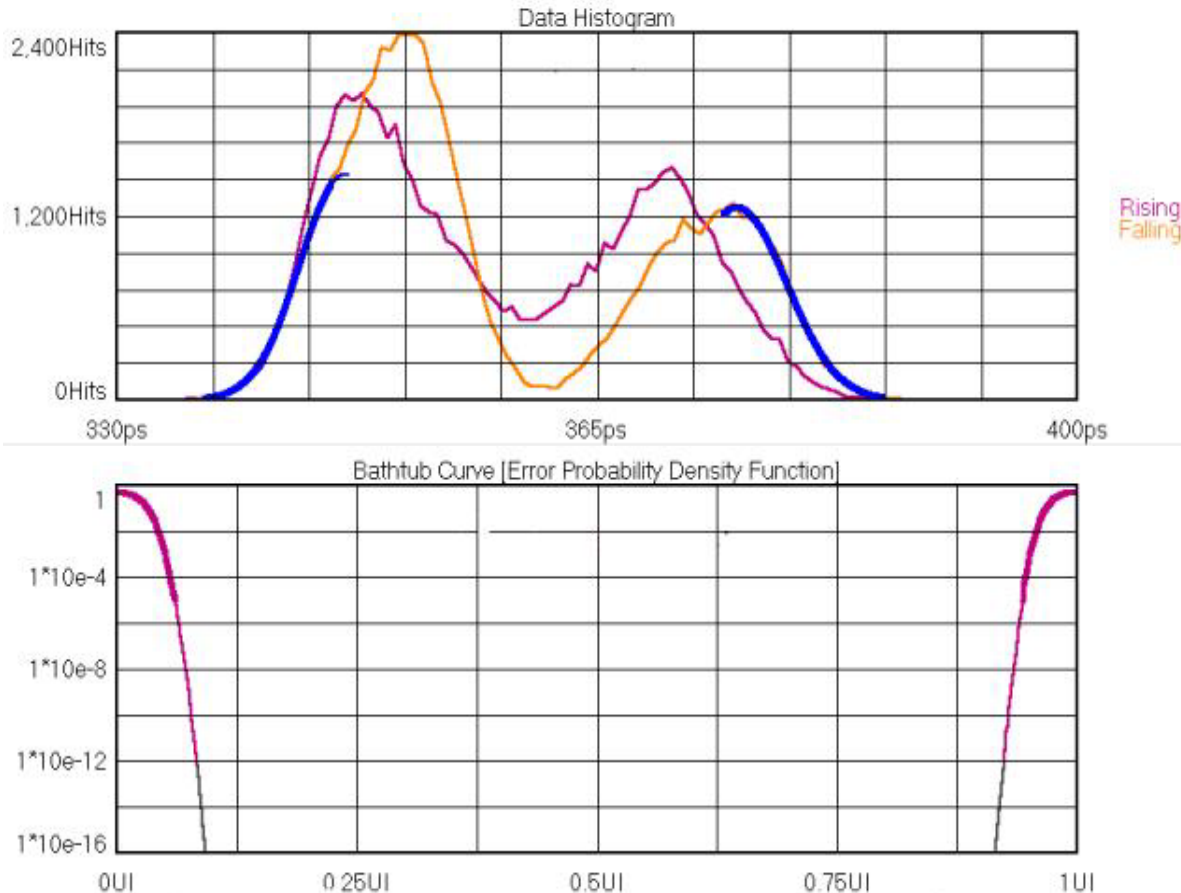
**Determine:**  
TJ, RJ, DJ,  
DCD&ISI, PJ  
and bathtub curve



Due to EMI or crosstalk?



# Instrumentation for Measuring Signal Integrity-Signal Integrity Analyzers (SIA's)-DataCom jitter



SIA-Clock to data

**Determine:**  
TJ, RJ, DJ,  
bathtub curve  
and histograms  
for rising and  
falling edges,  
skew between  
clock and data



# Instrumentation for Measuring Signal Integrity- Signal Integrity Analyzers (SIA's)- Voltage

Timing and  
Voltage  
compliance  
measurements

### OSCILLOSCOPE MEASUREMENTS

Quantity	Specification
VTX-DIFFp-p	800mV-1.2V
VTX-DE-Ratio	-4dB--3dB
D+ TTX-Rise	>50ps
D- TTX-Rise	>50ps
D+ TTX-Fall	>50ps
D- TTX-Fall	>50ps

### TIMING MEASUREMENTS

Quantity	Specification
UI	399.88ps-400.12ps
T TX-EYE	>0.7UI
T TX-Median to Max	<0.15UI

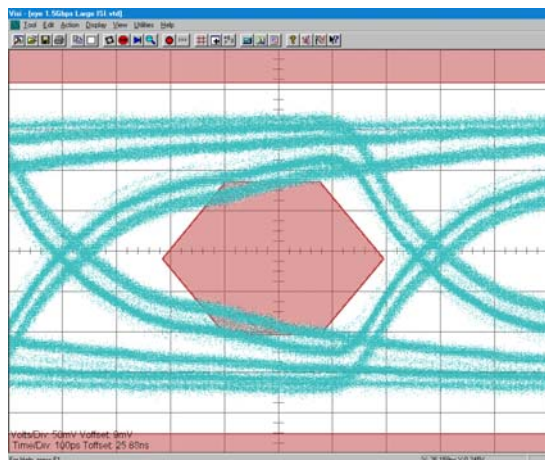
### ADDITIONAL VALUES

DJ (pk-pk)  
RJ (1-sigma)  
Histogram Hits

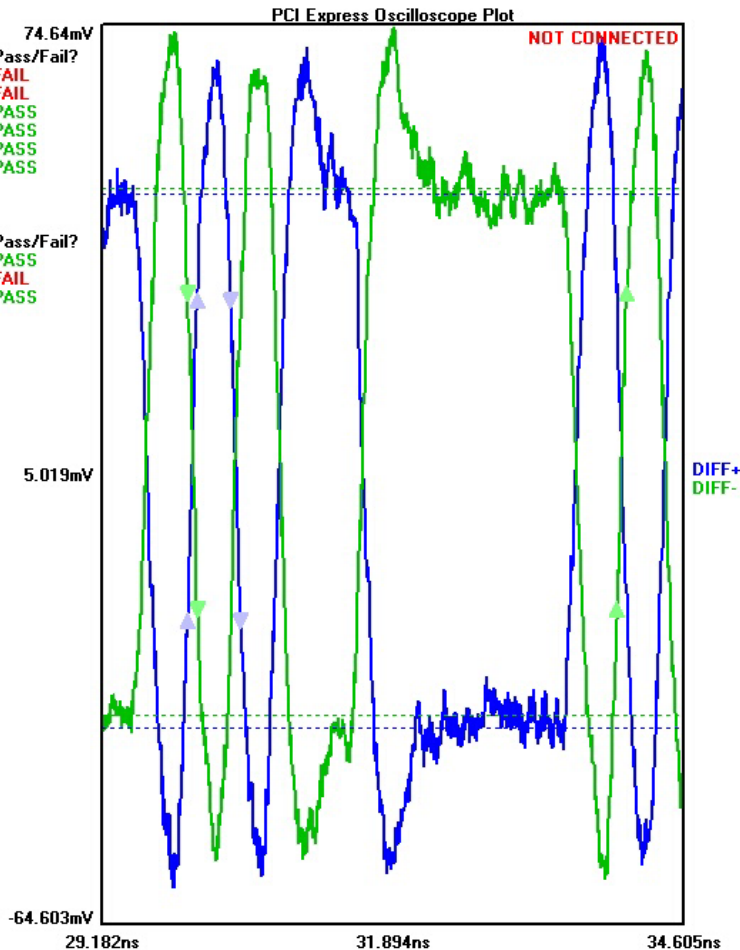
Measured	Pass/Fail?
267mV	FAIL
-4.6dB	FAIL
89.456ps	PASS
86.964ps	PASS
91.141ps	PASS
92.906ps	PASS

Measured	Pass/Fail?
400.012ps	PASS
0.651827UI	FAIL
0.117425UI	PASS

58.087ps  
6.073ps  
16,000Hits



Eye diagram analysis







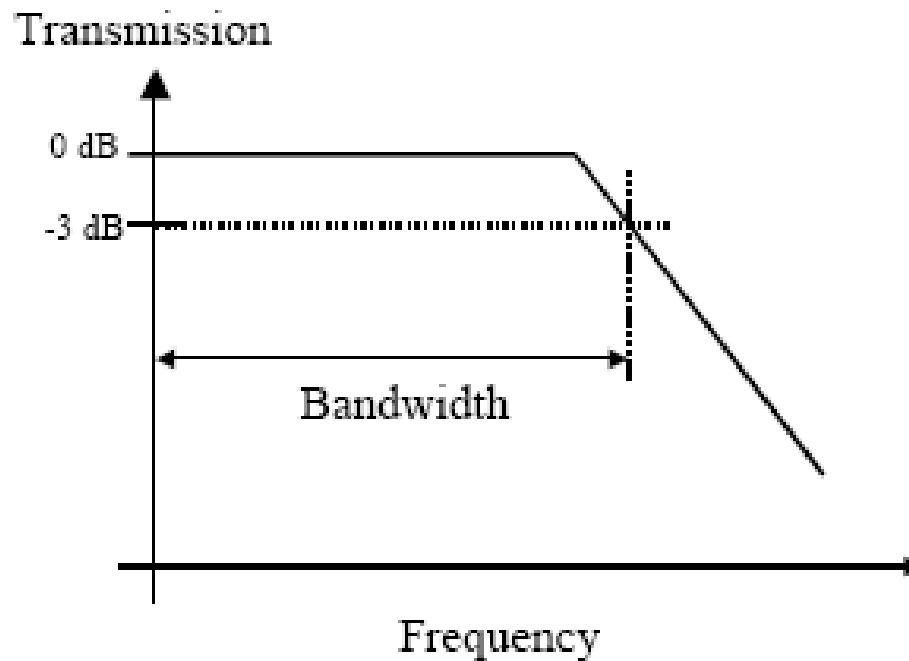
*WAVECREST*

*A TECHNOLOGIES COMPANY*

# Test Instrumentation Bandwidth



# Is bandwidth a good metric for determining instrument performance?

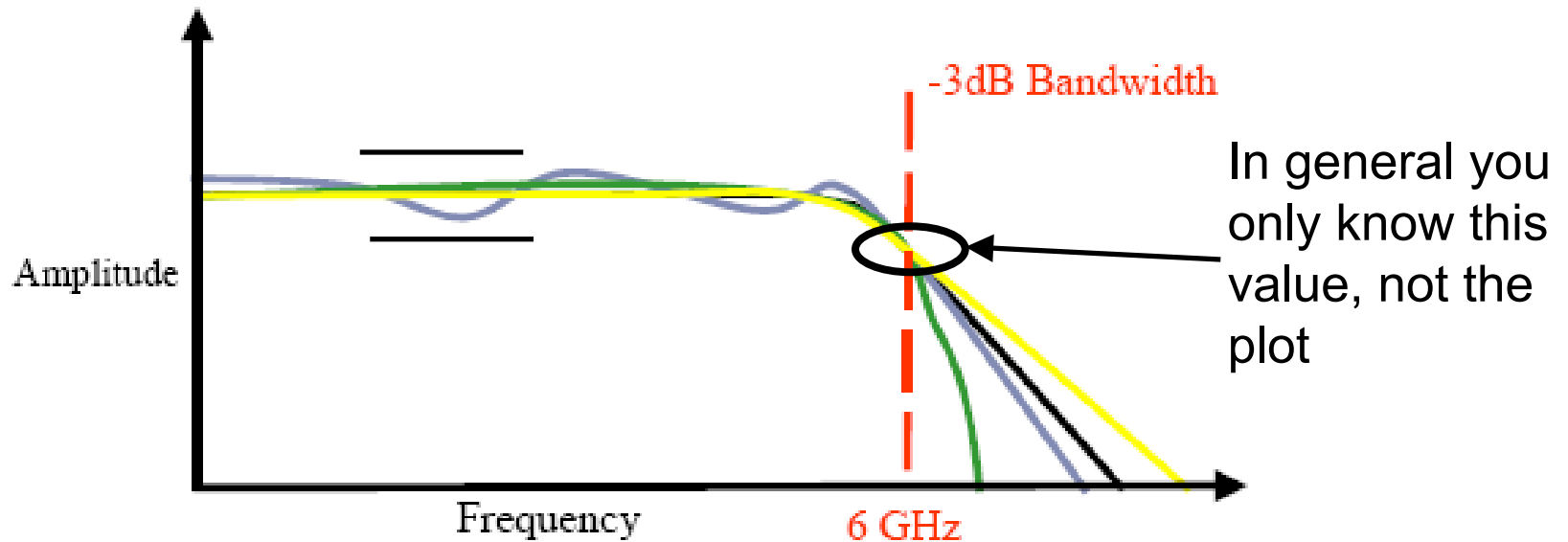


Should a time domain instrument be specified in the frequency domain?



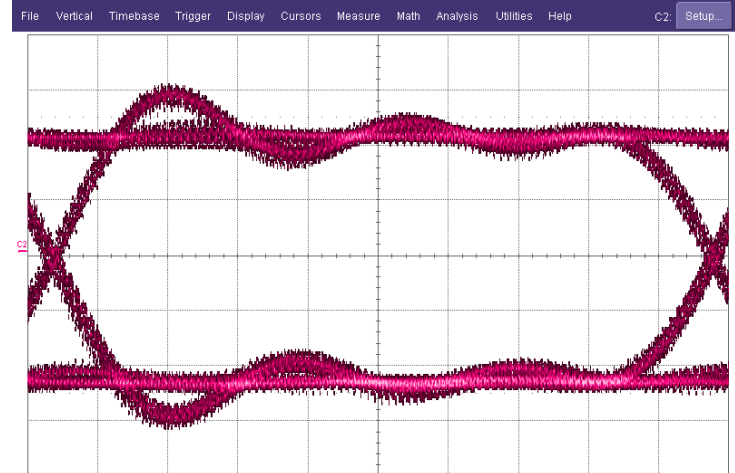
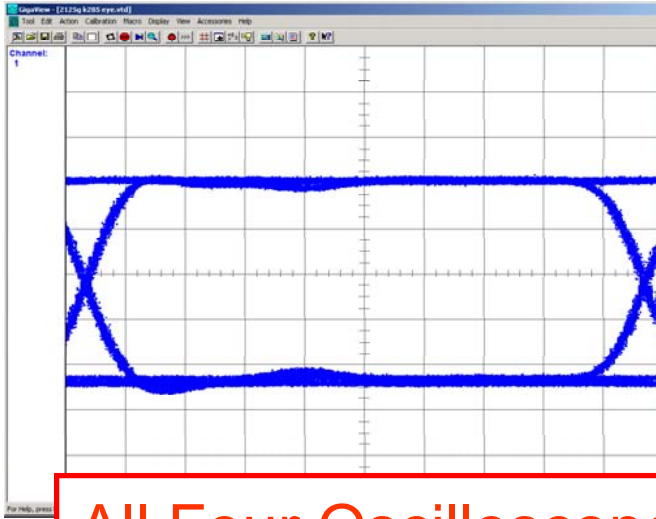
For a given bandwidth specification the performance can be very different

### Response vs. Frequency

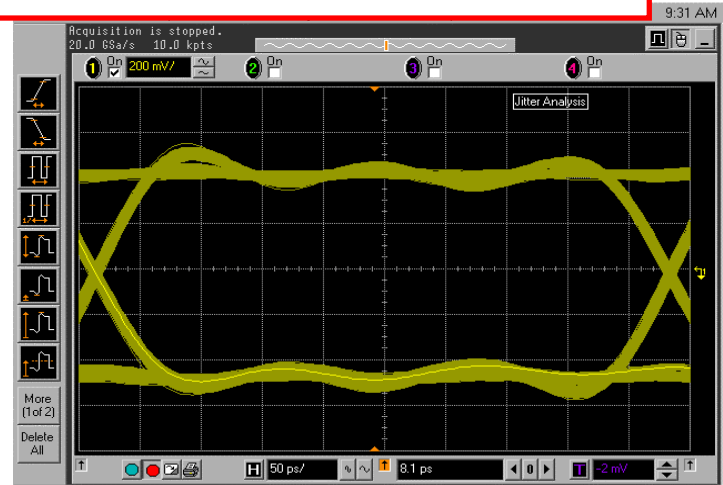
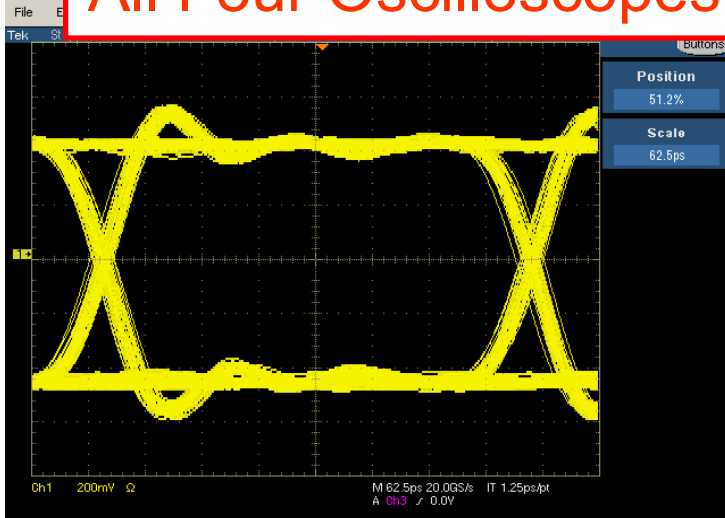




## 4 Different Oscilloscopes Analyze the Same 2.125 Gb/s Signal

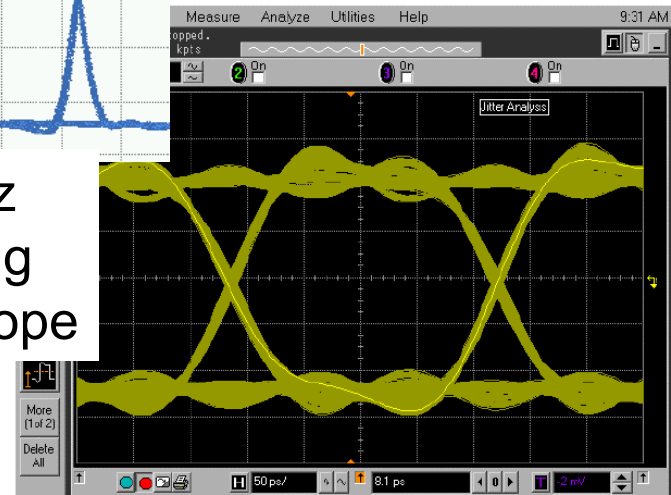
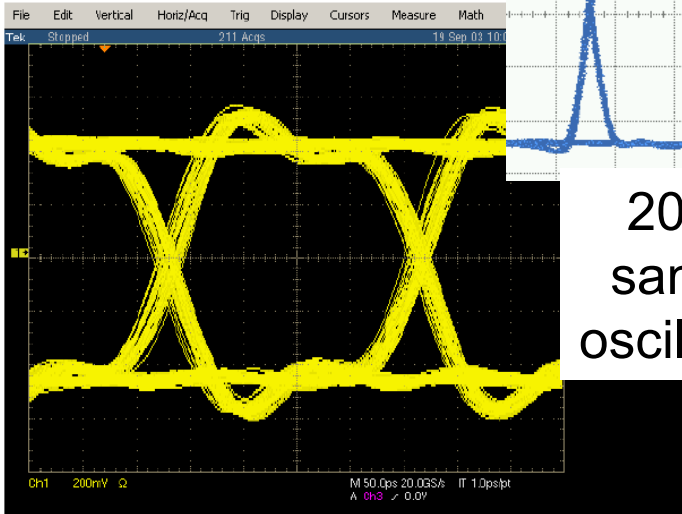
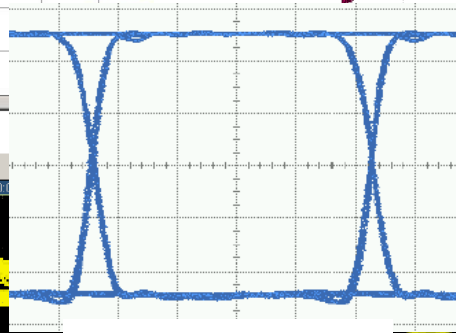
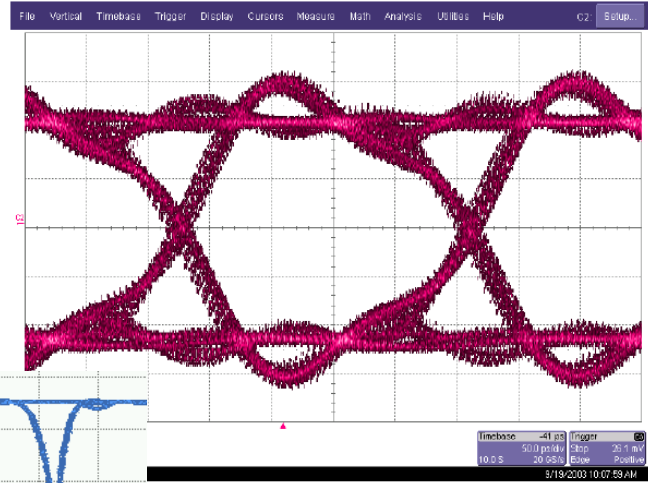
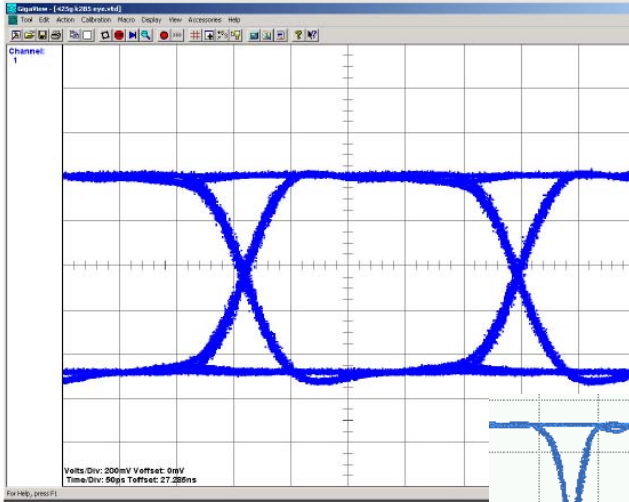


All Four Oscilloscopes have 6 GHz Bandwidth!





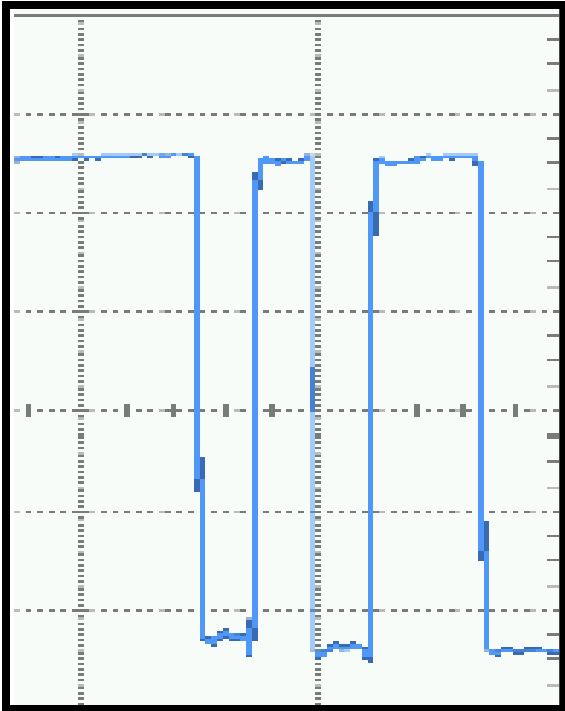
# 4 Different 6 GHz Oscilloscopes Analyze the Same 4.25 Gb/s Signal



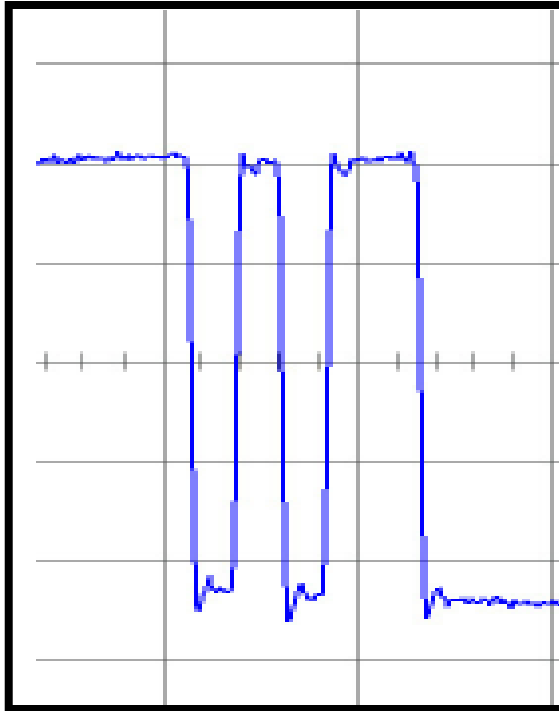
20 GHz  
sampling  
oscilloscope



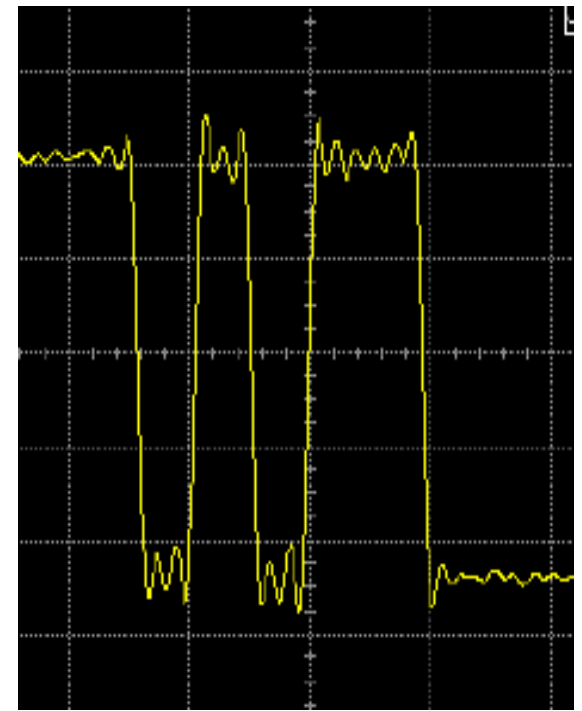
## Feature Analysis



20 GHz  
sampling  
oscilloscope



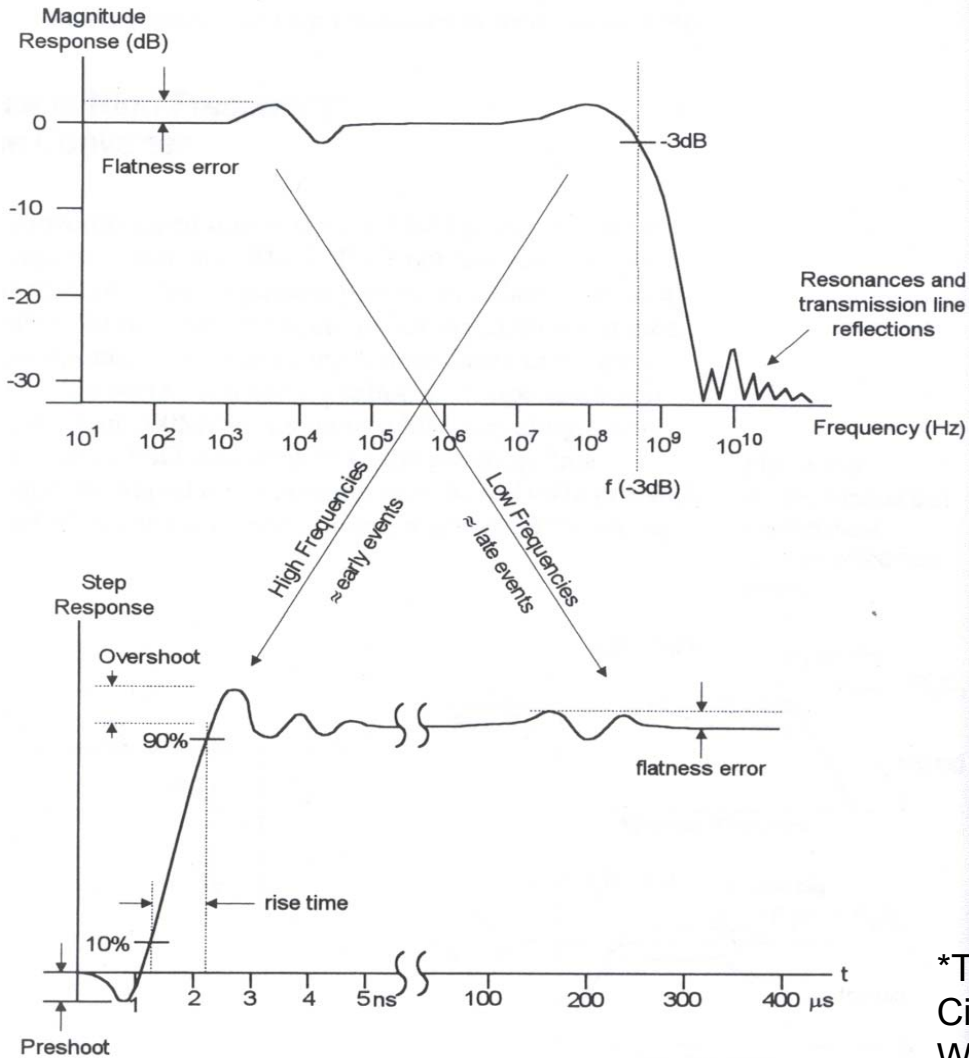
6 GHz  
sampling  
oscilloscope



6 GHz  
realtime  
oscilloscope



## Relationship between Frequency Magnitude and Step Response\*

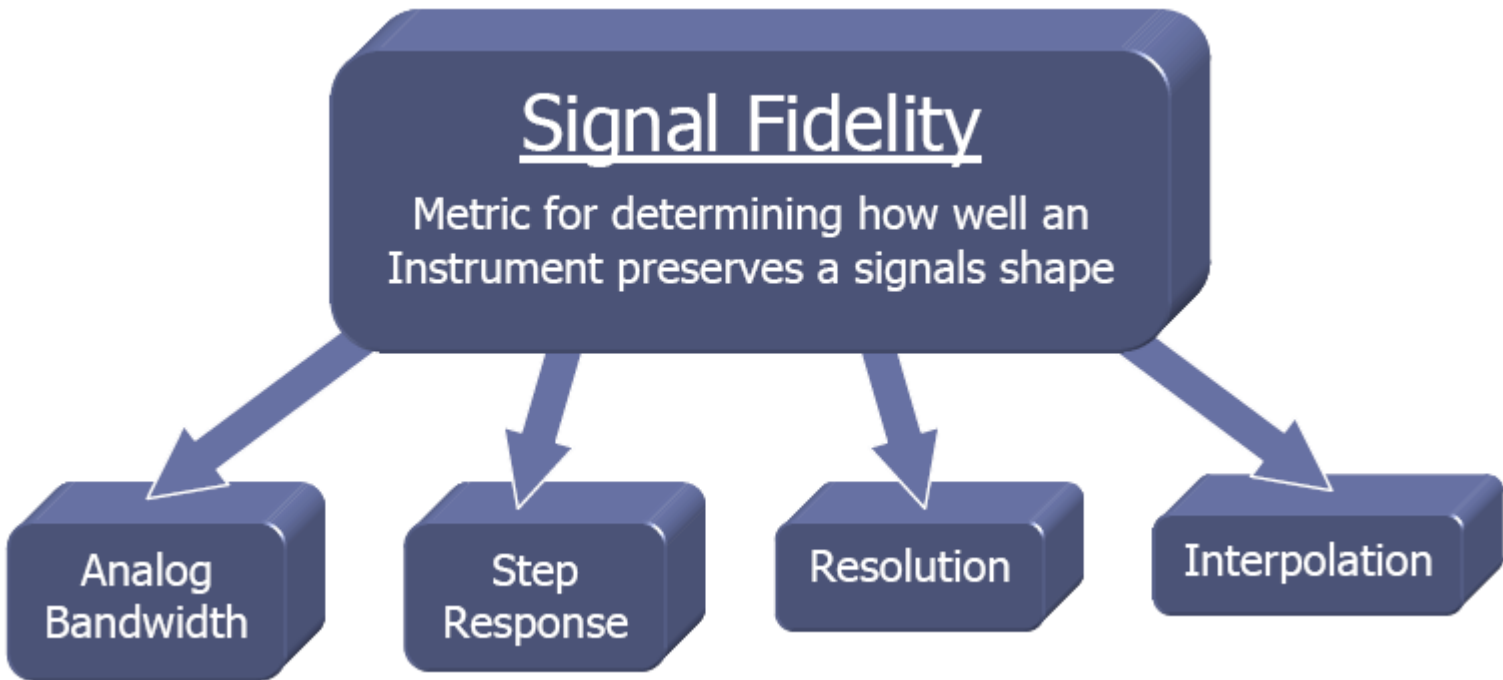


*Consider how the test instrument responds to a step or fast edge in order to evaluate its performance*

\*The Art and Science of Analog Circuit Design, edited by Jim Williams, 1998, pg. 65



Bandwidth is **not** the only metric for determining instrument performance



→ Evaluate the test instrument in your application and compare it to a known standard ←





# Summary

- I. Measurement Statistics-*Quantify and Characterize the Histogram*
  - II. Types of Jitter Measurements
    - a. Phase, period, cycle-to-cycle-*Determine the Application*
    - b. Role of clock recovery-*CR affects your measurement*
    - c. Data-*Different Jitter Analysis methods*
  - III. Types of Jitter and Noise
    - a. Timing Jitter-*Types and Sources*
    - b. Amplitude Noise
  - IV. Instrumentation for Measuring Signal Integrity
    - a. Oscilloscopes
    - b. BERT's
    - c. SIA's
    - d. Bandwidth-*It's not the only metric for performance*
- } *They all perform different functions*



# More Signal Integrity Presentations

**Statistical and System Transfer Function Based Method for Jitter and Noise in Communication Design and Test-**  
Track 4, 2:00 pm Tuesday, Mike Li and Jan Wilstrup,  
Wavecrest



## ***One final thought***

*“The old concept of histogram based peak-to-peak jitter has been replaced by the concept of total jitter that is associated with a certain bit error rate for the serial link (typically  $10^{-12}$ )”*

*ITRS Roadmap for Semiconductors: 2003*